

Name of business or name	
Name of the person in charge	
Title of the person in charge	
Phone number	
E-mail address	

\*Provide the contact information of SPBQII Notifier. (Do not enter the contact information of accounting office or law office, etc. )

\*Information herein may be used for the verification of Business Report and other inquiries for SPBQII operation.

Reference date			
----------------	--	--	--

(yyyy) (mm) (dd)

Form 21-2 (Article 246-3)

(letter)

Business reports for the XX term ( From: mm/dd/yyyy To: mm/dd/yyyy )

Submitted on mm/dd/yyyy

Name of Business:

Address or location:

Name:

(Name and Title of the Representative  
in the case that notifier legal status is corporation)

(Note)

A person who has submitted a written notification referred to in Article 63, paragraph (2) or Article 63, paragraph (8) of the FIEA while also entering the former name in the relevant document may enter the former name in the parentheses together with the present name or only the former name in the name column until the person files a notification to change the former name entered in these documents.

#### 1. Operational status of business

##### (1) Date of notification

① Notification in accordance with FIEA Article 63(2) or Article 63-3(1)

Date: mm/dd/yyyy

② Notification in accordance with Article 48(2), (4) or (6) of the Supplementary Provisions of the Act to Partially Amend the Securities and Exchange Act (Act No. 65 of 2006)

Date: mm/dd/yyyy

##### (2) Type of current business operations

--

##### (3) Overview of business operations in the current term

--

(4) Information provided in the Explanatory document

- |  |
|--|
| 1. Information provided in Form 21-3           |
| 2. Information provided in the Business report |

(5) Summary of matters resolved at the general shareholders meeting

--

(6) Status of corporate officers and employees

① Number of corporate officers and employees

	Corporate officers		Employees	Total
		of which part-time		
Total				

② Status of corporate officers

Position	Names

③ Status of the representatives in Japan or agents in Japan

Name of Business or Name	Address or location	Phone

④ Status of performance-linked remuneration for corporate officers

Status of performance-linked remuneration for corporate officers

(7) Status of the principal business office, and business offices where Specially Permitted

Name	Location	Number of Corporate officers and employees
Total___locations		Total_____

※Indicate in the column below if there have been changes in the status of business offices, etc. (Write the reason here if the total number of corporate officers and employees does not match the total number in (6)①)

--

(8) Status of shareholders

Name of Business or Name	Address or location	Percentage
Number of Other Shareholders ( )		
Total_____		100.00%

(9) Status of external auditing

Name or business name of the certified public accountants or auditing firms	Details of auditing

(Note)

1. Operational status of business

(2) Types of businesses currently being operated

In relation to businesses currently under operation, **(1)**enter "private placement" if they include businesses relating to acts specified under FIEA Article 63(1)(i); **(2)**enter "investment management" if they include those relating to acts specified under (ii) of the same Paragraph; **(3)**if they include those specified under the provisions of Article 17-13-2 of the Enforcement ordinance of the Financial Instruments and Exchange Act as businesses that especially require the protection of investors among those specified under (9) of the same Article, please indicate thus; and **(4)**if there are other businesses, please enter the types of such businesses. In addition, if there have been changes in such businesses during the term, please indicate them.

(3) Overview of business operations in the current term

Please give an overview of business activities, business performance, and important events that have affected operating performance during the current term.

(4) Information provided in the Explanatory document

Please circle the number to indicate whether the information provided in the Explanatory document created in accordance with the provisions of FIEA Article 63-4(3) is contained in Form 21-3 or the Business report.

(5) Summary of matters resolved at the general shareholders meeting

If the notifier is a joint-stock company, indicate, in a succinct manner, the dates and summary of matters resolved in regular and extraordinary shareholders meetings in the current term. Note, however, that you need not indicate any resolved matters that are not related to SPBQII.

(6) Status of corporate officers and employees

① Number of corporate officers and employees

Enter the numbers of corporate officers and employees (limited to corporate officers and employees engaged in SPBQII; the same applies in ② below) as at the end of the current term.

② Status of corporate officers

Describe the status of corporate officers as at the end of the current term. Note, however, that foreign corporations are only required to indicate representatives in Japan (i.e., persons specified under FIEA Article 63(7)(i)(d); the same shall apply in ③) in ③.

③ Status of the representatives in Japan or agents in Japan

Enter the name of the representative in Japan if the notifier is a foreign corporation; or the agent in Japan (i.e., the person specified under FIEA Article 63(7)(ii)(d)) if the notifier is an individual who has residency in a foreign country; leave it blank otherwise.

④ Status of performance-linked remuneration for corporate officers

If remuneration, etc. (meaning the remuneration, bonus or other property benefit provided from the company as compensation for execution of operation, which is pertaining to the latest business year, or whose amount of paid or expected to be paid has been clarified in the latest business year (excluding those indicated in the business reports for any business years prior to the latest business year); hereinafter the same applies in ④) for corporate officers includes performance-linked remuneration (meaning remuneration, etc. calculated based on an indicator related to profits accrued by transactions performed as management of investment assets; hereinafter the same applies in ④), indicate the following.

(a) If a policy regarding the decision of payment rate for performance-linked remuneration and other remuneration is determined, indicate the details.

(b) Provide the indicator related to performance-linked remuneration, reason for selecting the indicator, and method for determining the performance-linked remuneration.

(c) Indicate the total amount of performance-linked remuneration, its ratio to officer's remuneration and number of covered officers for each category of directors (excluding supervisory committee members and outside directors), supervisory committee members (excluding outside directors), company auditors (excluding outside company auditors), executive officers, and outside officers.

(d) Provide prospects and achievements of the indicator related to performance-linked remuneration. If all or part of remuneration, etc. is non-monetary remuneration, indicate the details.

(7) Status of the principal business office, and business offices where SPBQII are operated

Enter information about the principal business office, as well as each of the business offices where SPBQII are operated ("business offices, etc." hereafter in (7)). In addition, if there have been additions or abolitions of sales branches, etc., or changes in the names or addresses of business offices, etc. during the term, please indicate them.

(8) Status of shareholders

If the notifier is a joint-stock company, please provide the required information on the 10 largest shareholders and other shareholders. In the "Percentage" column, please indicate the percentage of the voting rights related to shares owned by the shareholders in the total outstanding voting shares, with digits beyond the third decimal place rounded down.

(9) Status of external auditing

Provide relevant information in this section if you have your financial statements externally audited by certified public accountants or auditing firms at least once every year.

Indicate whether the audits are statutory or voluntary, and describe specifically and succinctly the details of audit results in the "Details of auditing."