

Functions of the FSA (July 2020)

Bureau	Division/Office	Functions
Strategy Development and Management Bureau		Overall coordination, control of planning, policy making and implementation of overall and basic policies as well as other policy measures, control of research and analysis concerning the condition and trends of risks common to the financial system and multiple financial institutions, and conduct of comprehensive or particularly specialized research, analysis, and inspection
	Resources and Management Division	General affairs, personnel affairs, service regulations, training, and management of the library
	Budget, Organization and Support Services Office	Organization, quota of staff, budget, accounting, and welfare
	Information Technology Office	Maintenance and management of information system
	Planning and Management Division	Overall coordination, information disclosure / personal information protection, Diet affairs, public relations, liaison and coordination with local financial bureaus, post on official gazette, administrative litigation, administrative affairs of concerning administrative judgement on civil penalty.
	International Affairs Office	Planning and policy making concerning international affairs
	Strategy Development Division	Control of planning, policy making and implementation of overall and basic policies as well as other policy measures, financial literacy, control of administrative affairs concerning taxation, policy evaluation, research and studies concerning finance
	Investor Education Office	Planning and policy making of basic / overall policy measures to promote the stable asset building of citizens
	Advancing Asset Management Office	Planning, policy making and coordination concerning developing asset management
	Financial Services Users Office	Handling complaints / provision of information in regard to inquiries
	Cyber Security Policy Office	Planning, policy making, and promotion concerning cyber security measures
	Risk Analysis Division	Overall coordination of research and analysis concerning the condition and trends of risks common to multiple financial institutions and the financial system, and conduct of comprehensive or particularly specialized research, analysis and inspection
	Data Analytics Office	Collection and analysis of information to form the basis of an understanding of risks to the financial system / financial institutions
	Office of Inspection on Risk Management	Carrying out important inspections from among those aimed at understanding the risk management situation of financial institutions
	Director for Risk Analysis Division	Conduct of important inspections
Policy and Markets Bureau		Planning and policy making concerning domestic finance system
Planning and Management Division		Overall coordination of the Policy and Markets Bureau, control of administrative affairs concerning formulation of the guidelines, control of planning and policy making for systems concerning international services provided by domestic finance and financial institutions, planning and policy making for fundamental and common issues
	FinTech and Innovation Office	Planning and policy making for systems to respond to innovation of Information and Communication Technology
	Deposit Insurance and Resolution Framework Policy Office	Planning and policy making for systems concerning deposit insurance and Agricultural and Fishery Co-operative Savings Insurance
	Insurance System Planning Office	Planning and policy making concerning insurance system
	Research Office	Research concerning domestic and overseas financial systems and their operations
	Financial Markets Division	Planning and policy making concerning securities markets and other financial markets
	Corporate Accounting and Disclosure Division	Planning and policy making for systems concerning corporate disclosures, planning and policy making for CPA systems, reviews and actions on annual securities reports
Supervision Bureau		Supervision of financial institutions
Planning and Management Division		Overall coordination of Supervision Bureau, control of administrative affairs concerning the formulation of the guidelines of supervisory affairs
	Research Office	Formulation of guidelines concerning the supervisory affairs or research concerning measures
	International Supervisory Affairs Office	Planning, policy making, and promotion of basic measures concerning items necessary for overall processing concerning measures related to international supervisory affairs
	Nonbank Financial Companies Office	Supervision of money lending business operators
	Crypto Asset Exchange Service Providers Monitoring Office	Supervision of crypto asset exchange service providers
	Deposit Insurance and Resolution Framework Office	Ensure the suitable management of the business / organization of the Deposit Insurance Corporation of Japan and the Agricultural and Fishery Co-operative Savings Insurance Corporation
	Banking Business Division I	Supervision of bank operators
	Banking Business Division II	Supervision of bank operators (members of the Regional Banks Association of Japan or the Second Association of Regional Banks)
	Better Regional Banking Policy Office	Planning, policy making, and promotion of policy measures to support the improvement of productivity at companies through the strengthening of regional financial functions
	Financial Cooperatives Office	Supervision of credit unions, credit union associations, labor credit unions, labor credit union associations, and credit cooperative
	Insurance Business Division	Supervision of insurance business operators
	Non-Life Insurance/ Small-Claims and Short-Term Insurance Business Office	Supervision of insurance business operators (Non-life insurance providers, small amount and short term insurance providers)
Securities Business Division	Supervision of financial instruments business operators	
Asset Management Business Office	Supervision of investment advisors / agencies, investment management business operators, business operators, etc., engaging in specially permitted businesses for qualified institutional investors, etc.	
Administrative Law Judge		Administrative judgement on civil penalties
Securities and Exchange Surveillance Commission Executive Bureau		Market surveillance, inspection of securities businesses, investigation of market misconduct, inspection of corporate disclosures, investigation of criminal cases, etc.
Planning and Management Division		Overall coordination, general affairs and personnel affairs of the Executive Bureau
	Digital Forensic Solutions Office	Preservation of evidence, investigation and analysis of electromagnetic records concerning objects processed by electronic data processing system
	Market Surveillance Division	Overall information gathering concerning the trading of securities and other transactions, market surveillance
	Securities Business Monitoring Division	Collection of reports and materials, inspection of securities businesses based on the Financial Instruments and Exchange Act and other laws
	Director for Securities Business Monitoring Division	Conduct of securities inspections
	Market Misconduct Investigation Division	Investigation of market misconduct based on the Financial Instruments and Exchange Act
	Disclosure Inspection Division	Inspection of corporate disclosures based on the Financial Instruments and Exchange Act
	Criminal Investigation Division	Investigation of criminal cases based on the Financial Instruments and Exchange Act and the Act on Prevention of Transfer of Criminal Proceeds
Certified Public Accountants and Auditing Oversight Board Executive Bureau		Administration of CPA Examinations, monitoring and inspection of audit work performed by audit firms
Planning, Management and CPA Examination Office		General coordination in the Executive Bureau including international affairs and administration of CPA Examinations
	Monitoring and Inspection Office	Monitoring and inspection of audit work performed by audit firms