



# Introductory Presentation

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# Overview of Philippine Securities Market

Publicly Listed Corporation (PLC)		
	Number of PLCs as of Q3 2021	Percentage of local retail participation as of CY 2021 (by value traded)
		<b>Equity</b>
<b>Philippines</b>	272	31.10%

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# Overview of Philippine Securities Market

Gross Domestic Product		
Size of the capital market relative to GDP as of Q3 2021 (USD billions, % of GDP)		
Equity	Bond	REIT
267 (73.76%)	191 (51.68%)	3.46 (0.96%)

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Amount of Green, Social, Sustainable (GSS) bonds issued as of Q3 2021 (USD billions)			
Consolidated	Green	Social	Sustainable
4.222	2.105	-	2.117

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# Overview of Philippine Securities Market

## Equities Market Performance

as of 31 December 2021

	Close	Comparative Change (%)	
		m-o-m	y-o-y
PSEi	7,122.63	-1.09	-0.24
All Shares	3,818.12	-0.52	-10.64
Financials	1,606.17	2.31	10.95
Industrial	10,404.09	-0.34	10.76
Holdings Firms	6,807.27	-1.81	-7.44
Property	3,219.68	-2.35	-12.14
Services	1,986.37	-0.01	31.19
Mining and Oil	9,601.70	1.71	0.77

Stock Market Indicators:	Amount (in PHP)	y-o-y change (%)
Total Market Capitalization	18.08 Trillion	13.8
Total Capital Raised	156.03 Billion	121.4
Average Daily Value Traded	9.00 Billion	22.5
Foreign Transactions (net sell)	2.75 Billion	

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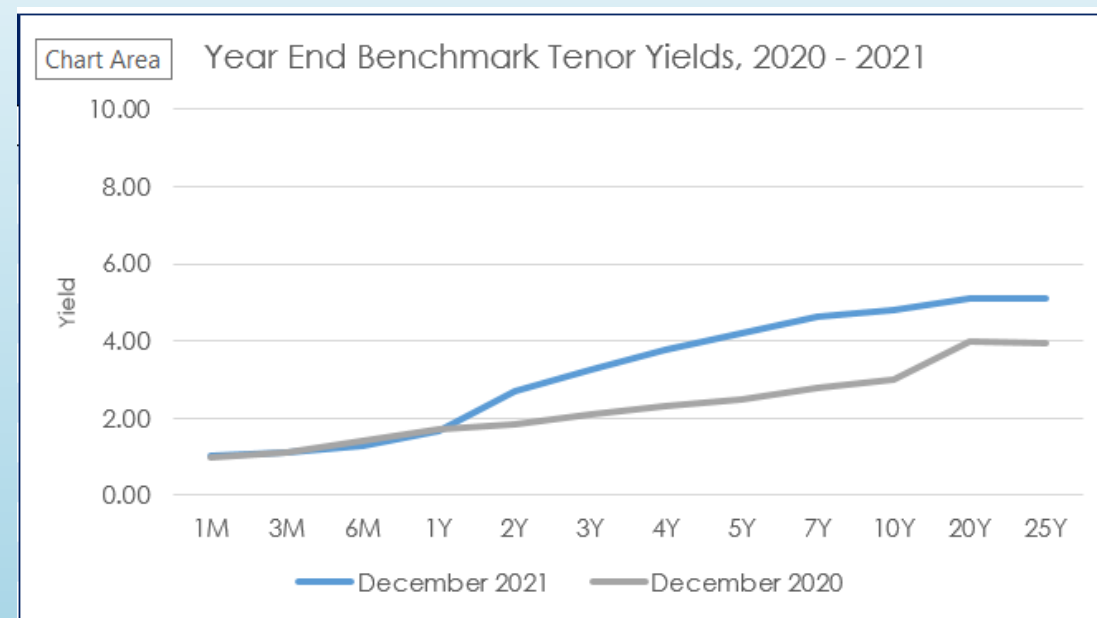
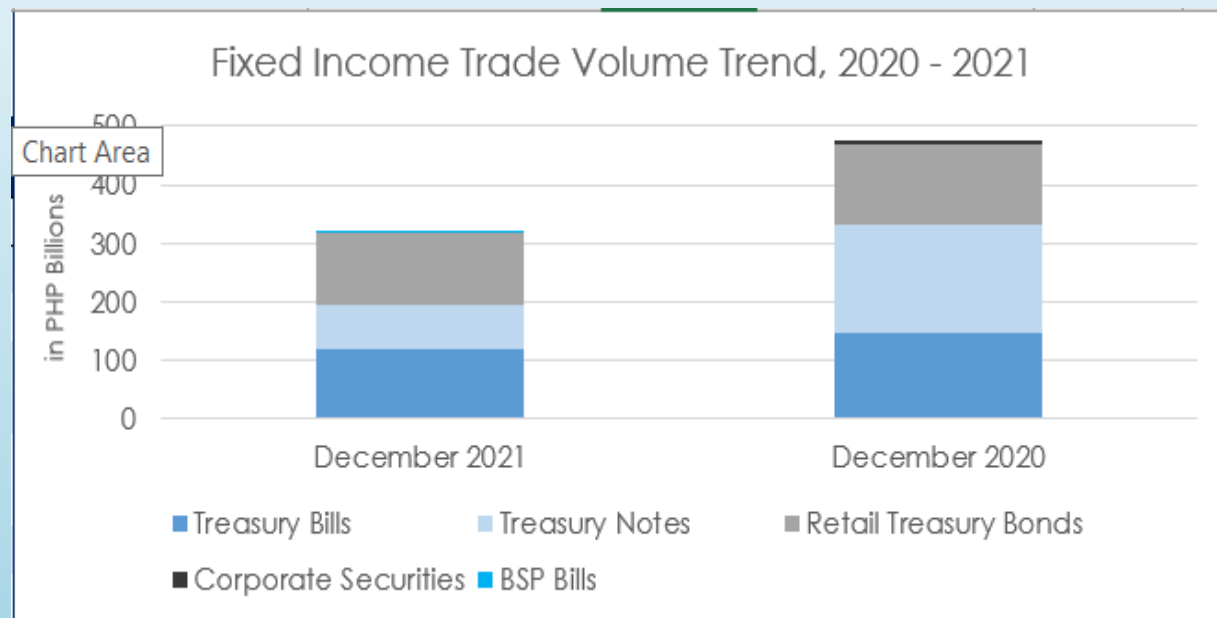
# Overview of Philippine Securities Market

	Trade Volume (in PHP Billions)	Comparative Change (%)	
		m-o-m	y-o-y
Treasury Bills	118.40	-18.98	-18.43
Treasury Notes	75.42	-45.07	-59.50
Retail Treasury Bonds	122.73	263.12	-10.81
Corporate Securities	2.16	-16.26	-62.79
BSP Bills	0.35	-	-
<b>Total</b>	<b>319.07</b>	<b>0.23</b>	<b>-32.80</b>

Tenor	Yield (End of December 2021)	Comparative Change (%)	
		m-o-m	y-o-y
1M	1.0148	-7.68	2.20
3M	1.0945	-11.98	-2.01
6M	1.2693	-13.07	-10.23
1Y	1.6597	-0.91	-3.05
2Y	2.6781	-3.60	45.08
3Y	3.2512	-0.73	56.53
4Y	3.7690	1.64	63.94
5Y	4.1966	3.35	67.66
7Y	4.6311	2.31	66.41
10Y	4.8222	-3.79	60.95
20Y	5.0908	1.48	28.39
25Y	5.0840	1.67	28.71

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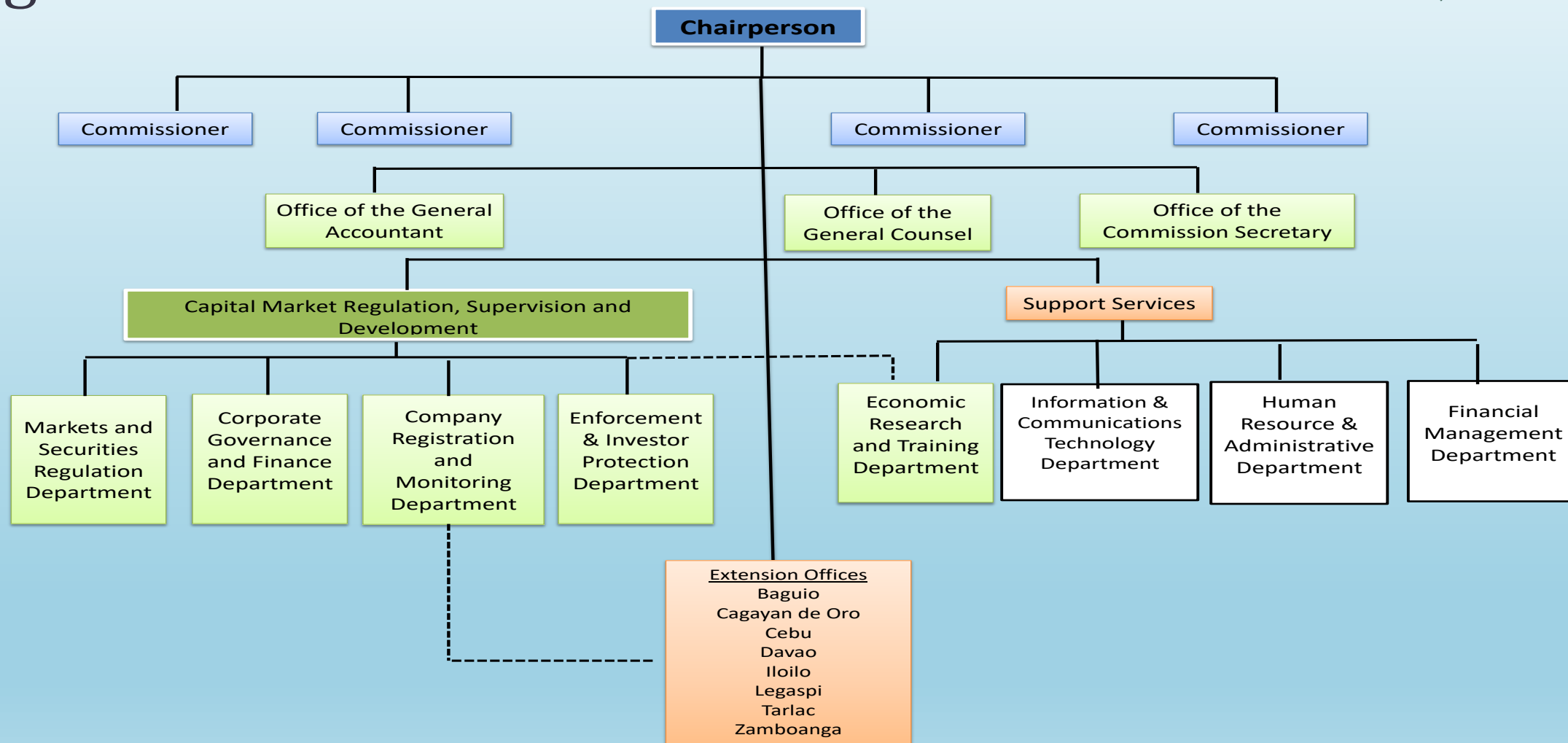
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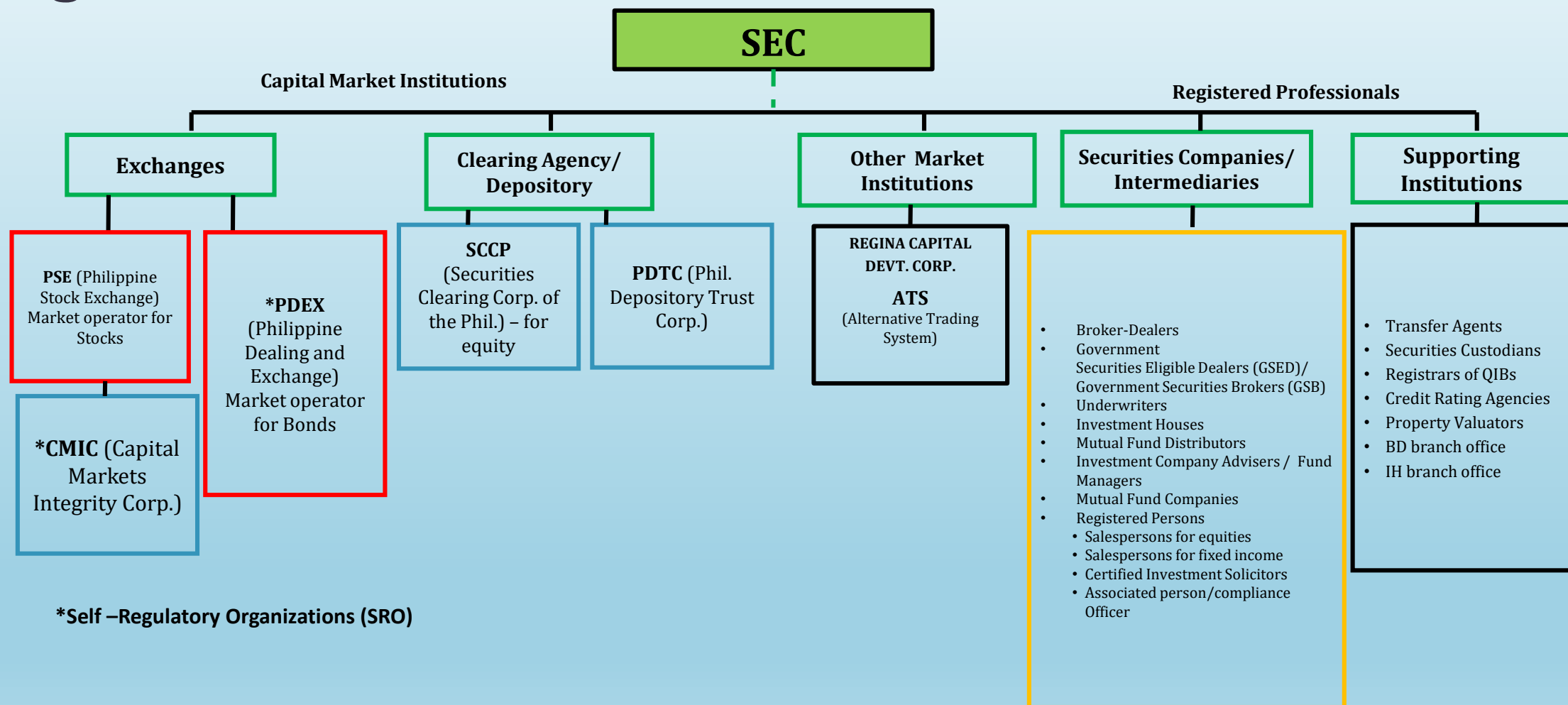
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# Organization Structure of the SEC



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# Company Registration and Monitoring Department (CRMD)



- Compliance and Monitoring Division (CMD)
- Corporate and Partnership Registration Division (CPRD)
- Corporate Filing and Records Division (CFRD)
- Financial Analysis and Audit Division (FAAD)

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# Functions of the CRMD

- Registers corporations and partnerships
- Grant licenses to
  - Foreign companies doing business in the Philippines
  - Lending, financing companies and capital market participants
- Processes applications for amendment of Articles of Incorporation (AOI) and By-laws (BL), mergers and other corporate reorganization that require prior approval of the Commission

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# Functions of the CRMD

- Handles petitions for correction of AOI and BL and their amendments, revocation of amended AOI and amended BL and revocation of certificates of incorporation for non-compliance with reportorial requirements
- Monitors compliance by registered corporations with their reportorial responsibilities under the Revised Corporation Code
- Verifies and reserves proposed company names

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# Functions of the CRMD

- Issues certification on corporate filings and information
- Attends court hearings and processes as witness, and as resource person in inter-agency meetings, including provision of corporate records to other government agencies
- Hears/ decides administrative case within CRMD's jurisdiction
- Attends to public queries
- Performs such other functions as may be directed by the Chair and the Commissioners

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# Recent Policy Initiatives

- Digitalization Initiatives
  - Electronic Simplified Processing of Application for Registration of Company (eSPARC)
  - Electronic System for Payments to SEC (eSPAYSEC)
  - Electronic Filing and Submission System (eFAST)

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# THANK YOU!

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