

# Financial Policies Monitor

## Please send us your comments on Financial Policies !

The FSA endeavors to improve financial policies through your opinions and suggestions.

### Purpose

- In light of the views that it is not always easy for financial institutions to express candid opinions when the contact person is a FSA official, the FSA has established a **Contact for Financial Policies Monitor**, in which external experts (Financial Policies Monitors as per below) receive opinions and suggestions as neutral third parties and convey such opinions and suggestions to the FSA for reflection in its financial policies.
- The FSA also opened a **Contact for the FSA** in order to continue to receive comments directly at the FSA.
- The FSA would like to continue its efforts to pursue better financial policies, and encourages your active use of these contacts.

### Financial Policies Monitors

Satoshi Inoue	Attorney at law (Partner, Nagashima Ohno & Tsunematsu)
Takahito Kato	Professor, Graduate Schools for Law and Politics, the University of Tokyo
Yoko Shirasu	Professor, Department of Economics, Aoyama Gakuin University
Yumiko Nagasawa	Organizer , Foster Forum (forum to foster quality financial products)
Takau Yoneyama	Professor, Faculty of Business Administration, Tokyo Keizai University
Akihiro Wani	Attorney at law (Senior Counselor, Morrison & Foerster LLP)

### Contacts

The FSA invites comments on financial policies from:

Individuals

Companies

Academic  
experts,  
Think tanks

Financial  
institutions and  
their employees

Comments directly to the FSA



**Contact for the FSA**

URL: <http://www.fsa.go.jp/monitor/gyouseigoiken.html>

Submit via: telephone, website, postal mail

Telephone: 0570-052100

\* 03-3501-2100(for IP Phone)

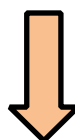
(Press "5" after the recorded message.)

81-3-3501-2100 (calling from overseas)

Website: See the URL above

Mail: Contact for the FSA,  
Counseling Office for Financial Services Users,  
Financial Services Agency  
3-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8967

Comments to Financial Policies  
Monitors



**Contact for Financial Policies  
Monitor**

URL: <http://www.fsa.go.jp/en/monitor/gyouseimonitor.html>

Submit via: email

Email address:

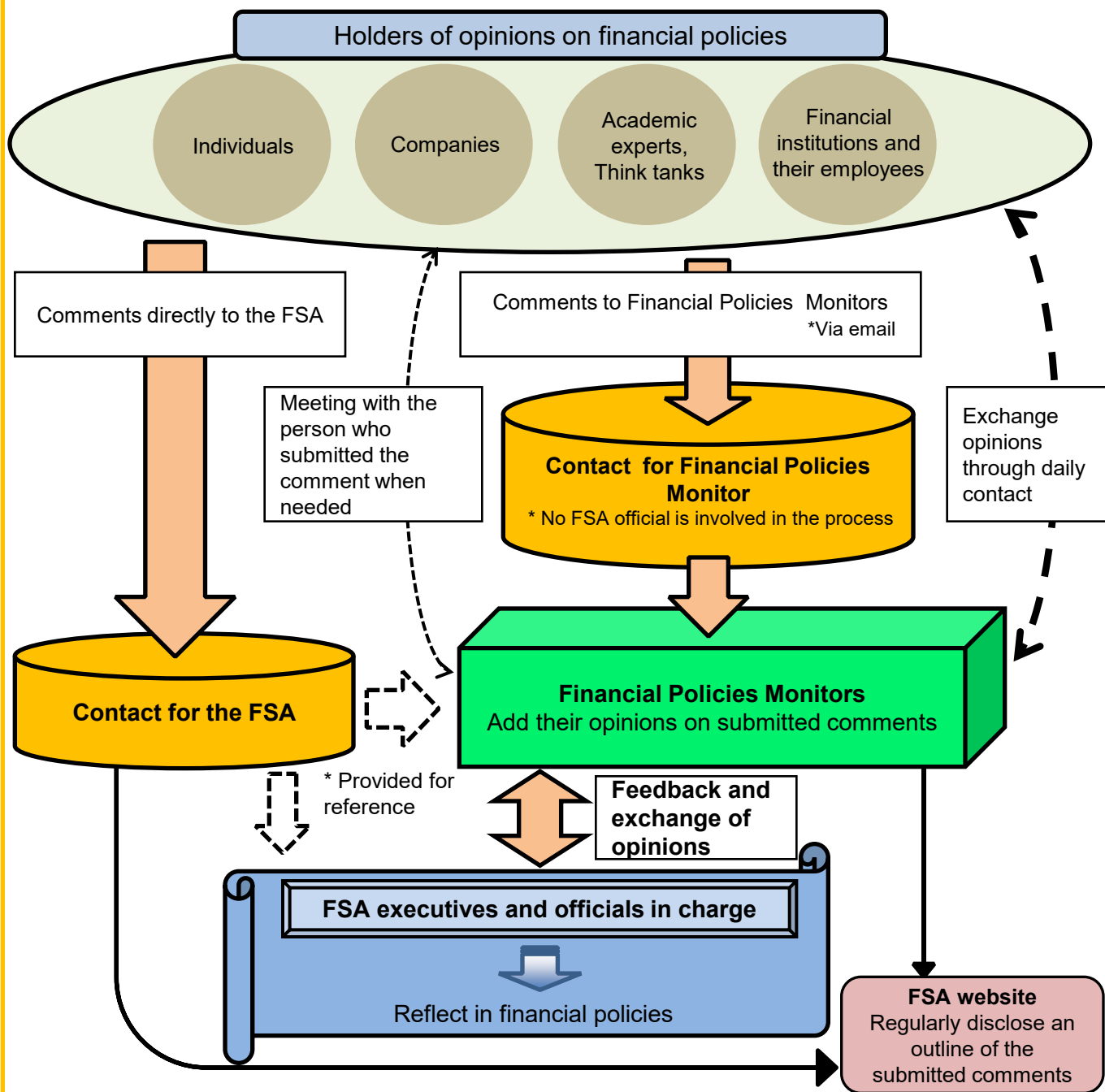
kinyugyoseimonitor@fsa.go.jp

\* Comments in English are also accepted.

Financial  
Policies  
Monitor



## Flow of Financial Policies Monitor



## Handling of comments submitted to Financial Policies Monitor

- The Monitors receive submitted comments directly without any involvements of FSA official. The consent of the person who submitted the comment is required in order for any FSA official to review it. (The FSA set the rules for the strict and appropriate protection of confidentiality, stipulating that the comments are delivered only to the Financial Policies Monitors and staffs specially appointed as the Monitors' assistants. Even if your message does not include your identification, you can submit your comments.)
- Submitted comments, each accompanied by the opinion of the responsible Financial Policies Monitor, will be shared with the FSA executives and officials in charge for the creation of better financial policies without disclosing submitter's name and/or company.
- To ensure the effectiveness and transparency of the Financial Policies Monitor system, an outline of key comments will be disclosed on a regular basis, with the consent of the persons who submitted them. The personal information of the persons submitting the comments and the names of the organizations to which they belong will not be disclosed.