

Temporary Responses Concerning Applications and Notifications to be Filed with the FSA in
Consideration of the Impact of COVID-19 Infection (information dissemination)

The report on promoting regulatory reform compiled by the Regulatory Reform Promotion Council on July 2 states that respective ministries and agencies should take emergency measures for administrative procedures requiring documents, seals, or face-to-face communications as soon as practicable until the spread of the COVID-19 infection subsides and should also review the conventional system. In order to steadily implement regulatory reform for matters indicated in the report by setting deadlines respectively, a regulatory reform implementation plan was formulated on July 17, 2020.

Against this background, the FSA makes responses as follows to applications and notifications, etc. to be filed by financial institutions, etc. as emergency measures for the time being until preparations for institutional responses, including computerization and legal amendments, etc., are completed. Please properly inform the members of your association.

1. Regarding applications and notifications for which the e-Gov System or the Financial Services Agency Business Support Integration System (hereinafter referred to as the "e-Gov System, etc.") cannot be utilized yet, the FSA will accept them by email, in principle, until the system is made available. Regarding applications and notifications for which the e-Gov System, etc. can be utilized, if an applicant does not have an environment for submitting them by the use of the e-Gov System, etc., the FSA will also accept them by email.
2. Applications and notifications, etc. without affixing seals (and attaching registered seal certificates) are also considered effective.
3. Documents issued by public organizations that are to be attached (a certificate of registered information, copy of a resident record, identification card, transcript of a family register, etc.) may be submitted electronically on the premise that their originals are to be sent later within around one month.

(Note) Regarding application documents for which revenue stamps need to be attached, examination procedures for registration, approval, etc. may be started when electronic data thereof is sent with the column to attach a revenue stamp left blank, as the Act on the Control of Imitating of Stamps prohibits copying of uncanceled revenue stamps. However, registration, approval, etc. will be completed when original documents attached with revenue stamps are later sent and received.

If you have any questions, feel free to consult with the FSA or the competent Local Finance (Branch) Bureau. When you intend to start submitting documents by email, please contact the department in charge of the FSA or the competent Local Finance (Branch) Bureau or Local Finance Bureau office.