**Example**

**Document stating changes of proportion in   
the price of securities specified in the Order   
to the total amount of assets under management**

Trade name or name: **ABCD Investment Management (Japan) Limited**

1. Changes of proportion in the price of securities specified in paragraph (6) of the Supplementary Provisions of the Order for Enforcement of the Financial Instruments and Exchange Act (the “Order”) to the total amount of assets under management during the current business year

|  |  |  |  |
| --- | --- | --- | --- |
| As of | Price of securities specified in the Order (A) | Total amount of money or other properties (B) | Proportion (A/B) |
| **End of Jan,2020** | **JPY 100 mil** | **JPY 1,000 mil** | **10**％ |
| **End of Feb,2020** | **JPY 180 mil** | **JPY 1,200 mil** | **15**％ |
| **End of Mar,2020** | **JPY 143 mil** | **JPY 1,100 mil** | **13**％ |
| **End of Apr,2020** | **JPY 138 mil** | **JPY 1,150 mil** | **12**％ |
| **End of May,2020** | **JPY 150 mil** | **JPY 500 mil** | **30**％ |
| **End of Jun,2020** | **JPY 200 mil** | **JPY 800 mil** | **25**％ |
| **End of Jul,2020** | **JPY 180 mil** | **JPY 1,000 mil** | **18**％ |
| **End of Aug,2020** | **JPY 156 mil** | **JPY 1,200 mil** | **13**％ |
| **End of Sep,2020** | **JPY 110 mil** | **JPY 1,000 mil** | **11**％ |
| **End of Oct,2020** | **JPY 200 mil** | **JPY 2,000 mil** | **10**％ |
| **End of Nov,2020** | **JPY 210 mil** | **JPY 1,400 mil** | **15**％ |
| **End of Dec,2020** | **JPY 150 mil** | **JPY 1,500 mil** | **10**％ |

(Notes)

　Note that the requirement for the Specially Permitted Business during Transition Period is not satisfied in the case where the above proportion exceeds 50%.

**Enter the price of securities specified in the Order, the total amount of assets under management and the proportion at the relevant time to show that the above proportion does not exceed 50% during the current business year.**

**Note that the above proportion shall not exceed 50% throughout the period of conducting the Specially Permitted Business during Transition Period and take necessary and appropriate measures to prevent the above percentage from exceeding 50%.**