**Example**

**Document stating type of investor   
who holds or will hold the invested business equity, etc.**

Trade name or name: **Kinyu Tokurei Co., Ltd.**

**As of MM/DD/YYYY**

1. Type of investor who holds or will hold the invested business equity

**For example, if the invested business equity is to be held by foreign juridical persons as defined in Item 1 of Article 63-8, paragraph (2) of the FIEA as well as Qualified Institutional Investors as defined in Item 2 of the same, put a circle under both Item 1 and Item 2.**

|  |  |  |  |
| --- | --- | --- | --- |
| Names of the invested business equity | Item 1 | Item 2 | Item 3 |
| **ABCD Investment Limited Partnership** | **〇** | **〇** |  |
| **EFGH Investment Limited Partnership** | **○** |  |  |

　(Note)

　　Put a circle (“○”) in the applicable column depending on which type of investor as defined in each item of Article 63-8, paragraph (2) of Financial Instruments Exchange Act (“FIEA”) holds the invested business equity.

2. Total amount of money or other properties to be invested or contributed by residents and non-residents

|  |  |  |
| --- | --- | --- |
| Names of the invested business equity | Total amount of money or other properties to be invested or contributed by residents (A) | Total amount of money or other properties to be invested or contributed by non-residents (B) |
| **ABCD Investment Limited Partnership** | **25,000,000** yen | **75,000,000** yen |
| **EFGH Investment Limited Partnership** | **N/A** |  |

(Note)

　　Note that if the amount of (A) is greater than or equal to that of (B), the fund does not meet the requirements under SPBFI operation. If no invested business equity is to be held by residents, it is not required to fill in the above column for the relevant fund.

3. In cases where there is any investor prescribed in Article 246-10, paragraph (1), item (ii) of Cabinet Office Order on Financial Instruments Business, etc., an outline of the foreign laws and regulations referred to in that item

|  |  |
| --- | --- |
| Names of the invested business equity | Outline of the foreign laws and regulations |
|  |  |
|  |  |

**If applicable, specify the name of the relevant foreign laws and regulations, including the applicable article numbers, under which any investor would be treated as equivalent to “Professional Investor” as defined in the FIEA, as well as an outline of the articles.**