Example

Document stating the status of Persons in Specified Relationships

**As of MM/DD/YYYY**

Trade name (or name) **Zaimu Investment Advisory and Agency Japan Co., Ltd.**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Trade name  (or name) | Amount of stated capital, total amount of reserve fund or total amount of contribution | Address of head office or principal business office | Type of business | Capital relationship or personnel relationship between the applicant and the Persons in Specified Relationships | Whether the Persons in Specified Relationships is categorized as a Parent Juridical Person, Subsidiary Juridical Person,  or Holding Company | Business relationship during the previous year |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

(Notes)

In case where the applicant has no Persons in Specified Relationship, enter “Not applicable” in the above table.