【For Applicant】

Example

**MM/DD/YYYY**

To: The Director-General of the Kanto Local Finance Bureau

Location: **XXX building Fifth floor,**

**3-1-1 Kasumigaseki, Chiyoda-ku,**

**Tokyo**

Trade Name: **Zaimu Investment Advisory and Agency Japan Co, Ltd.**

Name

(Name and title of representative in the case where applicant is a juridical person):

**ZAIMU Taro**

**(President and CEO)**

Pledge statement

Our company hereby pledges that it does not fall under any of Article 29-4, paragraph (1) (excluding item (i), (d) through (f), item (iv), (d), item (v), (c), and item (vii) (limited to the part pertaining to Article 66-53, item (vi), (c))) of the Financial Instruments and Exchange Act.