年　　　月　　　日

**Example**

MM/DD/YYYY

金融庁長官　殿

**Enter registration number**

To Commissioner of Financial Services Agency

登録番号　**関東財務局長(金商)第　　　　号**

 Registration number　**関東財務局長(金商)第　　　　号**

所在地　**東京都千代田区霞が関3－1－1**

**XXXビルディング 5階**

Location　　　**XXX building Fifth floor, 3-1-1 Kasumigaseki, Chiyoda-ku, Tokyo**

商号又は名称 **財務投資助言代理株式会社**

Trade name or name **Zaimu Investment Advisory and Agency Japan Co, Ltd.**

代表者の役職氏名　**代表取締役社長**　**財務　太郎**

 Title and name of representative person

**President and CEO　ZAIMU Taro**

本店その他の営業所又は事務所の名称及び所在地の変更届出書

Notification of change to name and location of
head office and other business office or office

本店（その他の営業所又は事務所）を下記のとおり（設置・廃止・変更）しましたので、金融商品取引法第３１条第１項に基づき、届出いたします。

I / We hereby submit a notification in accordance with Article 31, paragraph (1) of the Financial Instruments and Exchange Act because we [established/abolished/made changes to] the head office (other business office or office) as follows.

記

**Circle the applicable one**

Details

○ 届出内容〔１.設置 ２.廃止 ３.位置の変更 ４.名称の変更 ５.住居表示の変更〕

（該当番号に○を付けること）

Contents of Notification:

[1. Establishment 2. Abolition 3. Location change 4. Name change 5. Address change]

(Circle applicable number)

|  |  |
| --- | --- |
| 変更の内容（変更前後の営業所等の名称、所在地等）Contents of change(Name, location, etc. of business office, etc. before and after the change) | **Name of Office: Head Office (or XXX branch)****Before change:****〔 〒 AAA-AAAA　TEL BB（BBB）BBBB　　　〕****The building name (including floor number) shall also be described.** **[Address to be stated]****After change:****〔 〒 CCC-CCCC　TEL DD（DDD）DDDD　　　〕****[Address to be stated]** |
| 変更（営業開始、廃止）　年　　月　　日Date of change (start of business, abolition) | 年　　　　月　　　　日**MM/DD/YYYY** |
| 変更の理由Reason for the change |  |

添付書類

1. 当該変更に伴う顧客勘定の処理の内容を記載した書面（営業所又は事務所の廃止をした場合に限る。）

**Even if there is no document containing the details of the treatment of a customer’s account, prepare and submit a document so indicated.**

1. 変更後の登録申請書（第８面）

Attachments

1. A document containing the details of the treatment of a customer's account incidental to such change (limited to the cases where the business office or office has been abolished)
2. Amended written application for registration (page 8)

連絡担当者　　　　所属

Contact person　Department

役職氏名

Title and name

**Enter the contact person**

電話番号

Telephone number

**Note**

 **Enter the same date as the date of change in the upper right corner of the Amended Written Application for Registration (Page 8).**

（第８面）

(Page 8)

（別添６：本店その他の営業所又は事務所（外国法人にあっては、本店及び国内における主たる営業所又は事務所その他の営業所又は事務所）の名称及び所在地）

Exhibit 6: Names and locations of the head office and other business offices or offices (for a foreign juridical person, names and locations of the head office, and the principal business office or office in Japan, or other business offices or offices)

商号、名称又は氏名　**財務投資助言代理株式会社**

Trade name or name of the applicant **Zaimu Investment Advisory and Agency Japan Co., Ltd.**

**Enter the same date as the date of change**

　　　　　　　　　　　　　　　　　　　　　　　　（　　年　　月　　日現在）

As of MM/DD/YYYY

|  |  |
| --- | --- |
| 名　　　　称Name | 所　　　在　　　地Location |
| **Head Office****Tokyo Branch** | **Shintoshin Godochosya 12th floor, 1-banchi 1 Shintoshin, Chuo-ku, Saitama-shi, Saitama****Yushima Chiho Godochosya 2nd floor, 15-gou 6-ban 4-chome Yushima, Bunkyo-ku, Tokyo** |

（注意事項）

(Notes)

１　本店等を最初に（外国法人にあっては、本店を最初に、本店等をその次に）記載すること。

1. Enter information on the head office first (if the applicant is a foreign juridical person, enter information on the head office first, followed by information on the principal business office or office).

２　その他の営業所又は事務所のうち、無人の営業所又は事務所については、別添６－２に記載すること。

2. Information on unmanned business offices or offices among other business offices or offices must be entered in Exhibit 6-2.

**Please enter all facilities or buildings at which the applicant operates all or a part of the financial instruments businesses.**

**Please note that, in the case where the applicant is a juridical person, “business offices or offices” include not only the registered head office or branches, but also other places to be objectively considered as business offices even if they are not the registered branches.**