年　　　月　　　日

**Example**

MM/DD/YYYY

金融庁長官　殿

**Enter registration number**

To Commissioner of Financial Services Agency

登録番号　**関東財務局長(金商)第　　　　号**

 Registration number　**関東財務局長(金商)第　　　　号**

所在地　**東京都千代田区霞が関3－1－1**

**XXXビルディング 5階**

Location　　　**XXX building Fifth floor, 3-1-1 Kasumigaseki, Chiyoda-ku, Tokyo**

商号又は名称 **財務投資助言代理株式会社**

Trade name or name **Zaimu Investment Advisory and Agency Japan Co, Ltd.**

代表者の役職氏名　**代表取締役社長**　**財務　太郎**

 Title and name of representative person

**President and CEO　ZAIMU Taro**

他に行っている事業の種類の変更届出書

Notification of change to other businesses conducted by applicant

他に行っている事業の種類を下記のとおり変更しましたので、金融商品取引法第３１条第１項に基づき、届出いたします。

I / We hereby submit a notification in accordance with Article 31, paragraph (1) of the Financial Instruments and Exchange Act because we made changes to the types of other business that we conduct as follows.

記

Details

|  |  |
| --- | --- |
| 変更の内容Contents of change | **Addition (Removal) of XXX business.** |
| 変更年月日Date of the change | 年　　　　月　　　　日MM/DD/YYYY |
| 変更の理由Reason for the change | **Reason for the change is that XXXX** |

添付書類

　１．変更後の登録申請書（第１０面）

Attachments

 1. Amended written application for registration (page 10)

連絡担当者　　　　所属

Contact person　Department

役職氏名

Title and name

**Enter the contact person**

電話番号

Telephone number

**Note**

 **Enter the same date as the date of change in the upper right corner of the amended written application for registration (page 10).**

（第10面）

(Page 10)

（別添７：他に行っている事業の種類）

Exhibit 7: Types of other business conducted by the applicant other than businesses listed in Exhibit 5

商号、名称又は氏名　**財務投資助言代理株式会社**

Trade Name or Name of the Applicant　**Zaimu Investment Advisory and Agency Japan Co., Ltd.**

**Enter the same date as the date of change**

　　　　　　　　　　　　　　　　　　　　　　　　（　　年　　月　　日現在）

As of MM/DD/YYYY

|  |
| --- |
| 他に行っている事業の種類Types of other business conducted by the applicant other than businesses listed in Exhibit 5 |
| **- Consulting business****- Real estate leasing business****- Specially Permitted Businesses for Qualified Institutional Investors, etc.** |

**Please describe only the businesses conducted by the applicant other than type-II financial instruments business or investment advisory and agency business, not all of the business purposes registered in the certificate. Please describe “Not applicable” if there is no other business conducted by the applicant.**