年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

合併の届出書

Notification of merger

下記のとおり合併しましたので、金融商品取引法第５０条第１項の規定により届出いたします。

We hereby submit a notification of merger as follows in accordance with the provisions of Article 50 paragraph (1) of the Financial Instruments and Exchange Act.

記

Details

|  |  |
| --- | --- |
| 合併の相手方の商号又は名称Trade name or name of the other party to the merger | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 合　併　年　月　日Date of the merger | 年　　　　月　　　　日MM/DD/YYYY |
| 合　併　の　方　法Method of the merger | **××××による****By XXXX** |
| 合　併　の　理　由Reasons for the merger | **□□□□□のため** **（具体的に記載する）****Reason for the merger is XXXXX****(Enter in detail.)** |

添付書類

Attachments

　１．合併契約の内容及び合併の手続を記載した書面

1. Document stating the content of the merger agreement and the procedures for the merger

**Submit those of all parties to the merger**

　２．当事者の最近の貸借対照表

2. Recent balance sheet of the parties

　３．合併後の純財産額、自己資本規制比率を記載した書面

3. Document stating the amount of net assets and the capital adequacy ratio after the merger

**Business operators other than type-I business operators do not need to enter the capital adequacy ratio**

　４．顧客勘定の処理方法を記載した書面

4. Document stating the method of processing customer accounts

**Any format can be used**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**This document shall be submitted even if the other party to the merger is not a financial instruments business operator. It should be noted that if a corporation that is the financial instruments business operator concerned ceases to exist as a result of a merger, a notification pursuant to Article 50-2 paragraph (1) item 3 of the Act must be submitted instead of this notification.**