年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

**Circle the applicable item　or alter the description**

分割による事業の（　全部　・　一部　）の承継に関する届出書

Notification of the succession of business (in whole/part) as a result of a company split

分割により事業の（全部・一部）を承継したことについて、下記のとおり、金融商品取引法第５０条第１項第３号の規定により届出いたします。

We hereby submit a notification of the succession of business (in whole/part) as a result of a company split as follows in accordance with the provisions of Article 50 paragraph (1) item 3 of the Financial Instruments and Exchange Act.

記

Details

|  |  |
| --- | --- |
| 分割の相手方の商号又は名称Trade name or name of the other party to the split | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 分　割　年　月　日Date of the split | 年　　　月　　　日MM/DD/YYYY |
| 承継した事業の内容Particulars of the succeeded business |  |
| 分　割　の　理　由Reasons for the split | **□□□□□のため** **（具体的に記載する）****Reason for the split is XXXXX****(Enter in detail.)** |

添付書類

Attachments

　１．吸収分割契約の内容及び分割の手続を記載した書面

　1. Document stating the content of the absorption-type company split agreement and the procedures for the split

**Submit those of all parties**

　２．当事者の最近の貸借対照表

　2. Recent balance sheet of the parties

　３．分割後の純財産額、自己資本規制比率を記載した書面

　3. Document stating the amount of net assets and the capital adequacy ratio after the split

**Business operators other than type-I business operators do not need to enter the capital adequacy ratio**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**This document must be submitted only if the succeeded business is a financial instruments business, etc.**