年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

**Circle the applicable item or alter the description**

Title and name of representative person:

事業の（　全部　・　一部　）の譲受けに関する届出書

Notification of acquisition of (all/part) of business

下記のとおり事業の（全部・一部）を譲り受けたので、金融商品取引法第５０条第１項第３号の規定により届出いたします。

We hereby submit a notification because we have acquired (all/part) of the business as follows in accordance with the provisions of Article 50 paragraph (1) item 3 of the Financial Instruments and Exchange Act.

記

Details

|  |  |
| --- | --- |
| 譲受けの相手方の商号又は名称Trade name or name of the other party to the acquisition | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 譲り受けた年月日Date of the acquisition | 年　　　月　　　日MM/DD/YYYY |
| 譲り受けた事業の内容Particulars of the business acquired |  |
| 譲り受けた理由Reasons for the acquisition | **□□□□□のため** **（具体的に記載する）****Reason for the acquisition is XXXXX****(Enter in detail.)** |

添付書類

Attachments

　１．事業の譲受けの契約の内容及び事業の譲受けの手続を記載した書面

1. Document stating the content of the business acquisition agreement and the procedures for the business acquisition

**Submit those of all parties**

　２．当事者の最近の貸借対照表

2. Recent balance sheet of the parties

　３．事業の譲受け後の純財産額及び自己資本規制比率を記載した書面

3. Document stating the amount of net assets and the capital adequacy ratio after the acquisition of business

**Business operators other than type-I business operators do not need to enter the capital adequacy ratio**

**Any format can be used**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**This document must be submitted only when the acquired business is a financial instruments business, etc.**