年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

資本金が政令に定める金額に満たない場合に関する届出書

Notification of the case in which the capital is less than the amount stipulated in the Cabinet Order

下記のとおり資本金の数又は出資の総額が金融商品取引法施行令第１５条の７に定める金額に満たなくなったため、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第１号の規定により届出いたします。

We hereby submit a notification because the amount of capital or the total amount of contributions has become less than the amount stipulated in Article 15-7 of the Order for Enforcement of the Financial Instruments and Exchange Act as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 1 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 該当年月日Relevant date | 年　　　　月　　　　日MM/DD/YYYY |
| 該当しなくなった理由Reasons the amount no longer meets the requirement | **□□□□□のため** **（具体的に記載する）****Reason the amount no longer meets the requirement is XXXXX****(Enter in detail.)** |

添付書類

Attachments

　登録事項証明書又はこれに代わる書面

　Certificate of registered matters or other certification document

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number