年　　月　　日

**Example**

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金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

役員又は重要な使用人が業務の継続が著しく困難となった場合に関する届出書

Notification of the case in which it has become extremely difficult for an officer or important employee to continue his or her duties

下記のとおり役員又は重要な使用人が金融商品取引業に係る業務の継続が著しく困難となったことから、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第２号の規定により届出いたします。

We hereby submit a notification because it has become extremely difficult for an officer or important employee to continue his or her duties related to financial instruments business as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 2 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 該当者の役職・氏名Title and name of applicable person |  |
| 該当事由等Relevant reason, etc. |  |
| 該当年月日Relevant date | 年　　　　月　　　　日MM/DD/YYYY |

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**This notification shall be submitted when an officer or important employee falls under Article 199 item 2 (a) of the Cabinet Office Order on Financial Instruments Business, etc., making it extremely difficult to continue business related to financial instruments business.**