　　年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

**Circle the applicable item or alter the description**

親法人等又は子法人等に関する届出書

Notification of a parent corporation, etc. or subsidiary corporation, etc.

当社の（親法人等・子法人等）に（該当する・該当しない）こととなったため、下記のとおり、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第３号の規定により届出いたします。

We hereby submit a notification because the company listed below (has fallen/ceased to fall) into our (parent corporation, etc./subsidiary corporation, etc.) as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 3 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 親法人等又は子法人等の商号又は名称  Trade name or name of parent corporation, etc. or subsidiary corporation, etc. | **○○○○株式会社**  **🌕🌕🌕🌕 Co., Ltd.** |
| （親法人等・子法人等）に（該当する・該当しない）こととなった年月日  Date on which the above (fell/ceased to fall) into our (parent corporation, etc./subsidiary corporation, etc.) | 年　　　　月　　　　日  MM/DD/YYYY |

**Circle the applicable item or alter the description**

添付書類

Attachments

　１．該当することとなった又は該当しなくなった親法人等又は子法人等の業務の概要を記載した書面

　1. Document stating the outline of the business of the company that has fallen or ceased to fall into our parent corporation, etc. or subsidiary corporation, etc.

　２．金融商品取引業者と親法人等又は子法人等の関係を示す書類

　2. Document indicating the relationship between the financial instruments business operator and the parent corporation, etc. or subsidiary corporation, etc.

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**If there are many corporations, indicate, “As stated in Exhibit,” and list the names and dates in separate sheets.**

**The attachment may be a “Document describing the status of persons with specified relationships (parent company, etc., subsidiaries, etc., and holding companies)” submitted at the time of the deemed registration application or initial registration application, but the reasons for having fallen or having ceased to fall must be stated.**