年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

**Circle the applicable item or alter the description**

持株会社に関する届出書

Notification of a holding company

当社の持株会社に（該当する・該当しない）こととなったため、下記のとおり、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第４号の規定により届出いたします。

We hereby submit a notification because the company listed below (has fallen into/ceased to fall into) our holding company as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 4 of the Cabinet Office Order on Financial Instruments Business, etc.

記

**Circle the applicable item or alter the description**

Details

|  |  |
| --- | --- |
| 持株会社の名称Name of holding company | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 持株会社に（該当する・該当しない）こととなった年月日Date on which the above (fell into/ceased to fall into) our holding company | 年　　　　月　　　　日MM/DD/YYYY |

添付書類

Attachments

　持株会社の概要及び金融商品取引業者と持株会社の関係を記載した書面

　Document stating the outline of the holding company and the relationship between the

financial instruments business operator and the holding company

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**The attachment may be a “Document describing the status of persons with specified relationships (parent company, etc., subsidiaries, etc., and holding companies)” submitted at the time of the deemed registration application or initial registration application, but the reasons for having fallen or having ceased to fall must be stated.**