　　年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

破産等の申立ての事実を知った場合の届出書

Notification in the event of having become aware of the fact of a petition for bankruptcy, etc.

破産等の申立てについて、下記のとおり、金融商品取引法第５０条第１項第８号、並びに金融商品取引業者に関する内閣府令第１９９条第５号の規定により届出いたします。

We hereby submit notification of a petition for bankruptcy, etc. as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 5 of the Cabinet Office Order on Financial Instruments Business Operators.

記

Details

|  |  |
| --- | --- |
| 申立てを行った者の商号又は名称  Trade name or name of person who filed the petition |  |
| 申立ての事由  Reason for the petition | １．破産手続開始  1. Commencement of bankruptcy proceedings  ２．再生手続開始  2. Commencement of rehabilitation proceedings  ３．更生手続開始  3. Commencement of reorganization proceedings |
| 申立て年月日  Date of the petition | 年　　　　月　　　　日  MM/DD/YYYY |
| 申立てが行われた理由  Reasons for filing the petition | **□□□□□のため**  **（具体的に記載する）**  **Reason for filing the petition is XXXXX**  **(Enter in detail.)** |

添付書類

**Circle the applicable item**

Attachments

　１．申立てに係る書面の写し

　1. Copy of the document pertaining to the petition

　２．最近の日計表

　2. Recent daily cash count sheet

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**Submit this notification immediately after filing the petition because confirmation and other procedures based on III-3-2-(3) of the Comprehensive Guidelines for Supervision of Financial Instruments Business Operators**, **etc.** **are required.**