年　　月　　日

**Example**

**Submit this form without delay**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

訴訟又は調停の終結に関する届出書

Notification of the conclusion of a lawsuit or conciliation

下記のとおり、当事者となりました訴訟又は調停が終結しましたので、金融商品取引法第５０条第１項第８号、並びに金融商品取引業等に関する内閣府令第１９９条第９号の規定により届出いたします。

We hereby submit a notification because the lawsuit or conciliation to which we were a party has been concluded as follows in accordance with the provisions of Article 50 paragraph (1) item 8 of the Financial Instruments and Exchange Act and Article 199 item 9 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 訴 訟 又 は 調 停 の当 事 者 の 商 号 等Trade name, etc. of parties to the lawsuit or conciliation | 原告の氏名・住所・原告代理人Name, address and representative of plaintiff |
| 被告の氏名・住所・被告代理人Name, address and representative of defendant |
| 訴訟等終結年月日Date of conclusion of the lawsuit, etc. | 年　　　月　　　日MM/DD/YYYY |
| 判決又は和解の内容Particulars of the judgment or settlement |  |

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**Please attach a document (judgment, etc.) containing the details for reference.**