年　　月　　日

**Example**

**Submit this form within 30 days**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

金融商品取引業等の廃止届出書

Notification of discontinuation of financial instruments business, etc.

金融商品取引業等を下記のとおり廃止しましたので、金融商品取引法第５０条の２第１項第２号、並びに金融商品取引業等に関する内閣府令第２０４条の規定により届出いたします。

We hereby submit a notification of discontinuation of financial instruments business, etc. as follows in accordance with the provisions of Article 50-2 paragraph (1) item 2 of the Financial Instruments and Exchange Act and Article 204 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 廃止年月日Date of discontinuation | 年　　　月　　　日MM/DD/YYYY |
| 廃止の理由Reasons for the discontinuation | **□□□□□のため** **（具体的に記載する）****Reason for the discontinuation is XXXXX** **(Enter in detail.)** |

添付書類

**The balance sheet and profit and loss statement from the most recent settlement of accounts may be attached.**

Attachments

　１．最近の日計表

　1. Recent daily cash count sheet

　２．顧客に対する債権債務の清算の方法を記載した書面

2. Document stating the method of settling the claims and obligations held against customers

**Any format can be used**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**The business operator to which the said notification is applicable shall first issue a public notice until 30 days prior to the planned discontinuation in accordance with the provisions of Article 50-2 paragraph (6) of the Financial Instruments and Exchange Act and submit a notification as stipulated in the provisions of paragraph (7) of the same article.**

(Excluding investment advisory and agency business)