年　　月　　日

**Example**

**Submit this form within 30 days**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

**Circle the applicable item　 or alter the description**

Title and name of representative person:

分割による事業の（　一部　・　全部　）の承継に関する届出書

Notification of the succession of business (in whole/part) as a result of company split

分割による事業の（一部・全部）承継について、下記のとおり、金融商品取引法第５０条の２第１項第６号、並びに金融商品取引業等に関する内閣府令第２０４条の規定により届出いたします。We hereby submit a notification of the succession of business (in whole/part) as a result of a company split as follows in accordance with the provisions of Article 50-2 paragraph (1) item 6 of the Financial Instruments and Exchange Act and Article 204 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 承継先の商号又は名称Trade name or name of the successor | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 分割年月日Date of the split | 年　　　　月　　　　日MM/DD/YYYY |
| 分割の理由Reasons for the split | **□□□□□のため** **（具体的に記載する）****Reason for the split is XXXXX****(Enter in detail.)** |

添付書類

Attachments

　１．新設分割計画又は吸収分割契約の内容及び分割の手続きを記載した書面

　1. Document stating the incorporation-type company split plan or the content of the absorption-type company split agreement, and the procedures for the split

　２．顧客に対する債権債務の承継先への引継ぎ方法を記載した書面

 2. Document stating the method of succession of claims and obligations held against

customers to the successor

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**The business operator to which the said notification is applicable shall issue a public notice until 30 days prior to the intended succession in accordance with the provisions of Article 50-2 paragraph (6) of the Act and submit a notification as stipulated in the provisions of paragraph (7) of the same article.**