年　　月　　日

**Example**

**Submit this form immediately**

MM/DD/YYYY

**Enter registration number**

金融庁長官　殿

To Commissioner of Financial Services Agency

登録番号　○○財務局長(金商)第　　　　号

Registration number: ○○財務局長(金商)第　　　　号

所在地

Location:

商号又は名称

Trade name or name:

代表者の役職氏名

Title and name of representative person:

公告に関する届出書

Notification of a public notice

下記のとおり公告を行いましたので、金融商品取引法第５０条の２第７項、並びに金融商品取引業等に関する内閣府令第２０５条の規定により届出いたします。

We hereby submit a notification of a public notice as follows in accordance with the provisions of Article 50-2 paragraph (7) of the Financial Instruments and Exchange Act and Article 205 of the Cabinet Office Order on Financial Instruments Business, etc.

記

Details

|  |  |
| --- | --- |
| 商号、名称又は氏名Trade name or name | **○○○○株式会社****🌕🌕🌕🌕 Co., Ltd.** |
| 登録年月日Date of registration | 年　　　　月　　　　日MM/DD/YYYY |
| 登録番号Registration number | **○○財務局局長（金商）第　　　　号** |
| 該当事由Applicable reason | □廃止による(第二種金融商品取引業)□ Owing to abolition (Type-II financial instruments business) ※投資助言・代理業の登録　□有　□無\*Registration of investment advisory and agency business□ Yes □ No（「有」の場合）(If yes)□投資助言・代理業も廃止する□ Also abolish investment advisory and agency business□投資助言・代理業は廃止しない（【要】変更登録）□ Investment advisory and agency business will not be abolished (registration of change required)□合併による□ By merger□解散による□ By dissolution□分割による事業承継のため□ Owing to business succession through company split□事業の譲渡による□ By transfer of business |
| 該当事由の発生予定年月日Scheduled date of occurrence of the relevant event | 年　　　　月　　　　日MM/DD/YYYY |

添付書類

Attachments

 顧客取引の結了の方法並びに金融商品取引業者等（投資助言・代理業を除く）に関し顧客から預託を受けた財産及びその計算において当該金融商品取引業者等が占有する財産の返還の方法を記載した書面

 Document stating the method of completing customer transactions as well as the method of returning the property possessed by the financial instruments business operator, etc. on its account along with the calculation thereof, which was deposited by customers in relation to the financial instruments business operator concerned, etc. (excluding investment advisory and agency business).

**Any format can be used**

連絡担当者　　　　所属

Contact person: Department

役職氏名

Title and name

電話番号

Telephone number

**Notes**

**A public notice is not required when abolishing investment advisory and agency business.**

**If a public notice is issued, please submit this notification immediately because confirmation and other procedures based on III-3-2-(3) of the Comprehensive Guidelines for Supervision of Financial Instruments Business Operators, etc. are required.**

**Please attach a copy of the public notice for reference.**