

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)         | 所在地又は住所<br>(Location)                                                                                                                               | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                                                                          | 掲載時期<br>(Publication)   |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| Asia & Pacific Holdings      | Bankers Club Building, Level 15<br>Marunouchi 5C, Tokyo, ZIP 100-0005<br><br>Nestle Building, 7-1-15, goko-dori, Chuo-ku, Kobe, Hyogo, ZIP 651-0087 |                                                   |                                                                                                                                                 | 2018年3月<br>(March 2018) |
| Bonded Escrow                | Osaka Mitsui Bussan Building,<br>Level 7, 3-33 Nakanoshim, Kita-ku, Osaka, ZIP 530-0005                                                             | Tel: +81 6 4560 4064                              |                                                                                                                                                 | 2018年3月<br>(March 2018) |
| Century Holdings Ltd.        | Level 15, 1-3-1, Marunouchi,<br>Chiyoda-ku, Tokyo, ZIP 100-0005                                                                                     |                                                   |                                                                                                                                                 | 2018年3月<br>(March 2018) |
| Coreserv Financial Services  | Pacific Marks Nishi-Umeda 2F,<br>2-6-20 Umeda Kita-ku, Osaka, ZIP 530-0001                                                                          | Tel: +81 6 4560 4026<br>Fax: +81 6 7635 8547      | <a href="http://coreservfs.com">http://coreservfs.com</a>                                                                                       | 2018年3月<br>(March 2018) |
| Cressman Financial Group     | Lino Building, 1-1<br>Uchisaiwaicho, 2-Chome, Chiyoda-ku, Tokyo, ZIP 100-8540                                                                       |                                                   |                                                                                                                                                 | 2018年3月<br>(March 2018) |
| Empire asset investments     | Sumitomo Nakano Sakaue Building,<br>1-60-1 Chuo-ku, Tokyo, ZIP 164-0001                                                                             |                                                   |                                                                                                                                                 | 2018年3月<br>(March 2018) |
| Greenwood Trading            | Tokyo Takarazuka Building, Floor 14,<br>1-1-3 Yurakucho, Tokyo, ZIP 100-0006                                                                        | Tel: +81 3 4588 8777<br>Fax: +81 3 6735 7085      | <a href="http://www.greenwoodtrading.com/">http://www.greenwoodtrading.com/</a>                                                                 | 2018年3月<br>(March 2018) |
| Index Financial Group        | Roppongi Hills Mori Tower, 10-1,<br>Roppongi 6, Roppongi Hills,<br>Minato-ku, Tokyo, ZIP 106-6108                                                   | Tel: +81 3 6730 9489                              | <a href="http://indexfinancialgroup.com/contact-us/">http://indexfinancialgroup.com/contact-us/</a>                                             | 2018年3月<br>(March 2018) |
| ISG Global                   | Nichido Osaka Building, Floor 10,<br>2-2-7 Nishishinsaibashi,<br>Chuo-ku, Osaka, Osaka-fu                                                           | Tel: +81 6 4560 4041<br>Fax: +81 6 7635 5388      | <a href="http://www.isg-global.com/">http://www.isg-global.com/</a>                                                                             | 2018年3月<br>(March 2018) |
| Ishibashi Ventures           | Hashimoto Bldg 4F16-1 Shiba 5-chome,<br>Minato-ku, Tokyo, ZIP 108-0014                                                                              | Tel: +81 3 4520 9778<br>Fax: +81 3 4496 4760      | <a href="http://www.ishibashivent.com">http://www.ishibashivent.com</a>                                                                         | 2018年3月<br>(March 2018) |
| Johnson Financial Consulting | Hibiya Kokusai Building, 2-3<br>Uchisaiwai-cho, 2-Chome,<br>Chiyoda-ku, Tokyo, ZIP 100-0011                                                         | Tel: +81 3 4590 2755                              | <a href="https://www.johnsonfinancialconsulting.com/index.php/contact-us/">https://www.johnsonfinancialconsulting.com/index.php/contact-us/</a> | 2018年3月<br>(March 2018) |
| Kings View Capital Advisors  | Akihabara Dai Building, 76-1,<br>Sotokanda 1, Chiyoda-ku, Tokyo                                                                                     |                                                   |                                                                                                                                                 | 2018年3月<br>(March 2018) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)        | 所在地又は住所<br>(Location)                                                                           | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                                                        | 掲載時期<br>(Publication)   |
|-----------------------------|-------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| MNB Holdings                | 12F, Tokyo Building, Marunouchi, Chiyoda-ku, Tokyo                                              | Tel: +81 3 4589 4874<br>Fax: +81 3 4589 5275      | <a href="https://mnholdings.com/">https://mnholdings.com/</a>                                                                 | 2018年3月<br>(March 2018) |
| Muramasa Securities         | Hachioji Asahicho, Tokyo, ZIP 192-0083                                                          | Tel: +81 3 4579 5998                              | <a href="http://www.muramasasecurities.com">http://www.muramasasecurities.com</a>                                             | 2018年3月<br>(March 2018) |
| NBR Trading                 | Yokohama Landmark Tower, 2-2-1, Minatomirai, Nishi-ku, Yokohama-shi, Kanagawa-ken, ZIP 220-0012 | Tel: +81 3 4588 0546<br>Tel: +81 3 4578 9687      | <a href="https://www.nbrtrading.com/">https://www.nbrtrading.com/</a>                                                         | 2018年3月<br>(March 2018) |
| Nexus Financial             | Level 20 Shiodome Shibarikyu Building 1-2-3, Kaigan, Minato-ku, Tokyo, ZIP 105-0022             | Tel: +81 3 4510 8285                              | <a href="http://nexus-financial.com">http://nexus-financial.com</a>                                                           | 2018年3月<br>(March 2018) |
| Orix Capital Trading        | Mori Tower 13F, 2-16-1, Hirakawacho, Chiyoda-ku, Tokyo                                          | Tel: +81 3 4589 5010<br>Fax: +81 3 4589 5014      | <a href="https://www.orixtrading.com">https://www.orixtrading.com</a>                                                         | 2018年3月<br>(March 2018) |
| Pacific Chiba Trust         | Sanno, 13th Floor, 2-11-1 Nagatacho, Tokyo                                                      | Tel: +81 3 4590 0439                              | <a href="http://www.pctmanagement.com">http://www.pctmanagement.com</a>                                                       | 2018年3月<br>(March 2018) |
| Pacific Securities Co Ltd.  | Nihonbashi Kabutocho, Chuo-ku, Tokyo, ZIP 103-0026                                              |                                                   |                                                                                                                               | 2018年3月<br>(March 2018) |
| Parnell Rosenberg Irwin     | Glass City Shibuya, 16-28, Nanpeidai-Cho, Shibuya-Ku, Tokyo ZIP 150-0036                        |                                                   |                                                                                                                               | 2018年3月<br>(March 2018) |
| Regal Asset Investment      | Tokyo Shinjuku Park Tower, 30/F, Nishishinjuku 3-7-1, Tokyo, ZIP 163-1055                       |                                                   | <a href="https://www.regalassetinvestment.com/contact/">https://www.regalassetinvestment.com/contact/</a>                     | 2018年3月<br>(March 2018) |
| Rutherford Franklin Ltd     | Shinjuku Mitsui Building, 2-1-1, Nishishinjuku, Shinjuku-ku, Tokyo, ZIP 163-0410                | Tel: +81 3 4540 0981                              | <a href="https://www.rutherfordfranklin.com/pages/contactus.html">https://www.rutherfordfranklin.com/pages/contactus.html</a> | 2018年3月<br>(March 2018) |
| Wellington Capital Group    | Atago East Building, 3-16-11, Nishishinbashi, 12th Floor, Minato-ku, Tokyo                      | Tel: +81 3 4578 0348                              | <a href="http://wellington-capital-group.com/">http://wellington-capital-group.com/</a>                                       | 2018年3月<br>(March 2018) |
| Woori Bridgewater Brokerage |                                                                                                 |                                                   |                                                                                                                               | 2018年3月<br>(March 2018) |
| Worthington                 | Daiichi Building, 1-8-3 Shibuya, Shibuya-ku, Tokyo, ZIP 150-0002                                | Tel: +81 3 6890 8657<br>Fax: +81 3 6740 1918      | <a href="http://worthington-global.com/">http://worthington-global.com/</a>                                                   | 2018年3月<br>(March 2018) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)        | 所在地又は住所<br>(Location)                                                                        | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                                          | 掲載時期<br>(Publication)       |
|-----------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------|
| Aeon Group International    | 4th Floor, Kioicho Building,<br>3-12 Kioi-cho, Chiyoda-ku,<br>Tokyo, ZIP 102-0094            | Tel: +81 3 4580 2113                              | <a href="http://www.aeongroup-intl.com">www.aeongroup-intl.com</a>                                              | 2017年12月<br>(December 2017) |
| Aichi BMO International     | 16th Floor, Gran Tokyo South<br>Tower, 1-9-2, Marunouchi,<br>Chiyoda-ku, Tokyo, ZIP 100-6640 | Tel: +81 3 4588 8167<br>Fax: +81 3 4510 3208      | <a href="http://ai-international.com/">ai-international.com/</a>                                                | 2017年12月<br>(December 2017) |
| Asquith Group               | 10F S-GATE Akasaka sanno,<br>2, Akasaka, Minato-ku,<br>Tokyo, ZIP 107-0052                   | Tel: +81 3 4243 3187<br>Fax: +81 3 6740 2388      | <a href="http://www.theasquithgroup.com">www.theasquithgroup.com</a>                                            | 2017年12月<br>(December 2017) |
| Cameo Consulting Ltd        | Cerulean Tower, 26-1 Sakuragaoka-<br>cho, Shibuya-ku, Tokyo, ZIP 150-<br>8512                | Tel: +81 3 4578 9543                              | <a href="http://cameo-consulting.com/">cameo-consulting.com/</a>                                                | 2017年12月<br>(December 2017) |
| Cathay DuPont               | ThinkPark Tower, 2-1-1, Osaki,<br>Shinagawa-ku, Tokyo, ZIP 141-<br>6001                      | Tel: +81 3 4579 5738                              | <a href="http://cathaydupont.com/cathaydupont/en/home.html">cathaydupont.com/catha<br/>ydupont/en/home.html</a> | 2017年12月<br>(December 2017) |
| CHINATSU AND PARTNERS       | 4th Flr. Maya Bldg. 2-5, Minami<br>Hon-machi 1-chome Chuo-ku,<br>Osaka, ZIP 541-0054         | Tel: +81 6 4560 4048<br>Fax: +81 6 7635 2860      | <a href="http://chinatsupartners.com/">chinatsupartners.com/</a>                                                | 2017年12月<br>(December 2017) |
| Daiwa Asia                  | Level 9, 2-1-1 Edobori, Nishi-<br>ku, Osaka, ZIP 553-0002                                    |                                                   |                                                                                                                 | 2017年12月<br>(December 2017) |
| Fuji Global Enterprise Corp | 816-8, 2F, Akamichi, Uruma City,<br>Okinawa                                                  | Tel: +81 9 8989 5475                              |                                                                                                                 | 2017年12月<br>(December 2017) |
| Gina, Eri and Associates    | Ito Bldg. 6-14, Minami-Honmachi<br>3-chome Chuo-ku, Osaka, ZIP 541-<br>0054                  | Tel: +81 645 604 071                              | <a href="http://www.ginaerassoc.com">www.ginaerassoc.com</a>                                                    | 2017年12月<br>(December 2017) |
| Knight & Mackenzie Partners | 32 Shiba -Koen Building<br>3-4-30 Shibakouen<br>Minato-ku, Tokyo, ZIP 105-0011               | Tel: +81 3 4590 0942                              | <a href="http://www.knightmackenzie.com/">www.knightmackenzie.co<br/>m/</a>                                     | 2017年12月<br>(December 2017) |
| Lindmand Group              | Marunouchi Trust Tower, 1 Chome-<br>8-3, Marunouchi, Tokyo, ZIP 100-<br>0005                 | Tel: +81 3 4588 3068                              | <a href="http://www.lindmandgroup.com">www.lindmandgroup.com</a>                                                | 2017年12月<br>(December 2017) |
| Olivier and Mann            | Shinjuku Nomura Building, 1-26-2<br>Nishi-Shinjuku, Shinjuku-ku,<br>Tokyo, ZIP 163-0532      |                                                   |                                                                                                                 | 2017年12月<br>(December 2017) |
| Pioneer Equity Acquisition  | Makino Bldg. 8-1,<br>Hatchobori 2-chome, Chuo-ku,<br>Tokyo, ZIP 104-0032                     | Tel: +81 3 4540 0881                              | <a href="http://pioneerequityacquisition.com/">pioneerequityacquisiti<br/>on.com/</a>                           | 2017年12月<br>(December 2017) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)           | 所在地又は住所<br>(Location)                                                                          | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                                               | 掲載時期<br>(Publication)       |
|--------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Premier Capital Group          | Level 17, Roppongi Hills North Tower, 6-2-31 Roppongi, Minato-ku, Tokyo, ZIP 106-0032          | Tel: +81 3 4243 3886<br>Fax: +81 3 6745 9367      | <a href="http://www.premiercapitalgrp.com">www.premiercapitalgrp.com</a>                                             | 2017年12月<br>(December 2017) |
| Samatosa Capital Ventures Inc. | 31/F Osaka Kokusai Building, 2-3-13, Azabu-cho, Chuo-ku, Osaka, ZIP 541-0052                   | Tel: +81 6 4560 4033<br>Fax: +81 6 7635 5296      | <a href="http://www.samatosacv.com">www.samatosacv.com</a>                                                           | 2017年12月<br>(December 2017) |
| Seagate Financial              | 22nd Umeda Hankyu Building, 8-7 Kakudacho, Kita-ku, Osaka-shi, Osaka-fu, ZIP 530-8350          |                                                   | <a href="http://www.seagatefinancial.com/">www.seagatefinancial.com/</a>                                             | 2017年12月<br>(December 2017) |
| Tokai National Partners        | 19th Floor Akihabara UDX Building, 4-14-1, Soto-Kanda, Chiyoda-ku, Tokyo                       | Tel: +81 3 4590 2821                              | <a href="http://tnpsecurities.com/">tnpsecurities.com/</a>                                                           | 2017年12月<br>(December 2017) |
| Washington Capital Group       | Ote Center Building 19F, 1-1-3, Otemachi, Chiyoda-ku, Tokyo, ZIP 100-0004                      | Tel: +81 3 4580 0926<br>Fax: +81 3 4580 0933      | <a href="http://washingtoncapitalgroup.com/">washingtoncapitalgroup.com/</a>                                         | 2017年12月<br>(December 2017) |
| Armco FX                       |                                                                                                | Tel: +81 3 4588 8345                              | <a href="http://www.armcofx.com">www.armcofx.com</a>                                                                 | 2017年6月<br>(June 2017)      |
| Ashida Associates              | 15th Fl., New Pier Takeshiba South Tower, 16-1, Kaigan 1-chome, Minato-ku, Tokyo, ZIP 105-0022 |                                                   |                                                                                                                      | 2017年6月<br>(June 2017)      |
| Atsuko Ventures                | 2-18 Nakanoshima 3-chrome, Kita-Ku, Osaka, ZIP 530-8252                                        |                                                   |                                                                                                                      | 2017年6月<br>(June 2017)      |
| Axia Consultants               | 8th Floor Kishimoto Building 2-2-1 Marunouchi, Chiyoda-ku, Tokyo, ZIP 100-0005                 | Tel: +81 3 4570 4351                              | <a href="http://www.axiaconsultants.com">www.axiaconsultants.com</a>                                                 | 2017年6月<br>(June 2017)      |
| Concord Financial Partners     | Shinjuku Monolith Building, 2-3-1, Nishi-Shinjuku, Tokyo, ZIP 163-0990                         |                                                   | <a href="http://www.concordfinancialpartners.com">www.concordfinancialpartners.com</a>                               | 2017年6月<br>(June 2017)      |
| Continental Bancorp Ltd.       | 60 Cook Street, Suite 324, Auckland, NZ                                                        | Tel: +81 120 374847                               | <a href="http://www.cboffshore.com">www.cboffshore.com</a><br><a href="http://www.cbcorp.co.nz">www.cbcorp.co.nz</a> | 2017年6月<br>(June 2017)      |
| Core Strategic Partners        | Sunshine Building, Level 11, 3-1-1 Higashi-Ikebukuro, Tokyo                                    | Tel: +81 3 4578 2738<br>Fax: +81 3 6700 6415      | <a href="http://www.corestrategicpartners.com">www.corestrategicpartners.com</a>                                     | 2017年6月<br>(June 2017)      |
| Corner Oaks Group              | Tokyo Opera City Tower, 3-20-2 Nishishinjuku, Shinjuku-ku, Tokyo, ZIP 163-1407                 | Tel: +81 3 6863 5374                              |                                                                                                                      | 2017年6月<br>(June 2017)      |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)        | 所在地又は住所<br>(Location)                                                                                           | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                              | 掲載時期<br>(Publication)  |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------------------------------------------------------------------------|------------------------|
| DCS Trust Tokyo             | Midtown Tower 9, Chome-7<br>Akasaka, Minato-ku, Tokyo, ZIP<br>107-0052                                          | Tel: +81 3 6890 8561                              | <a href="http://www.dcstrust.net">www.dcstrust.net</a>                              | 2017年6月<br>(June 2017) |
| Direct Asset Partners       | Shinjuku Nomura Building, 1-26-2<br>Nishi-Shinjuku, Shinjuku-ku,<br>Tokyo                                       | Tel: +81 3 6745 9564                              | <a href="http://www.directpartners.com">www.directpartners.com</a>                  | 2017年6月<br>(June 2017) |
| Excon Fuji Securities       | Marunouchi Kitaguchi Building<br>24th Floor 1-6-5 Marunouchi,<br>Chiyoda-ku, Tokyo, ZIP 100-0005                | Tel: +81 3 4540 5760                              | <a href="http://www.efsecurities.com">www.efsecurities.com</a>                      | 2017年6月<br>(June 2017) |
| Fujitisu Global             | 28-30th Floor, Bakanoshima,<br>Kita-ku, Osaka, ZIP 530-0005                                                     |                                                   |                                                                                     | 2017年6月<br>(June 2017) |
| Global Associates           | Tokyo Kaijo Building New Wing,<br>Floor 8, 1-2-2 Marunouchi,<br>Chiyoda-ku, Tokyo                               | Tel: +81 3 4510 6106<br>Fax: +81 3 4332 2379      |                                                                                     | 2017年6月<br>(June 2017) |
| Ito Ventures                | Olive Yoyogi Bldg., 38-6, Yoyogi<br>5-chome, Shibuya-ku, Tokyo, ZIP<br>151-0053                                 | Tel: +81 3 4570 4377<br>Fax: +81 3 6745 9484      | <a href="http://www.itoventures.com">www.itoventures.com</a>                        | 2017年6月<br>(June 2017) |
| Jacob L. Callan             | Nittele Tower, 1-6-1 Higashi-<br>Shinbashi, Minato-ku                                                           | Tel: +81 3 4520 9632<br>Fax: +81 3 4520 9706      | <a href="http://www.jlcallan.com">www.jlcallan.com</a>                              | 2017年6月<br>(June 2017) |
| Kadoma Ventures             | Higashi Osaka Daihatsu Bldg. 1-<br>1, Nishitsutsumi Hon-dori<br>Higashi 1-chome, Higashi Osaka,<br>ZIP 577-0045 | Tel: +81 6 4560 4035<br>Fax: +81 6 7635 8785      | <a href="http://www.kadomavent.com/">www.kadomavent.com/</a>                        | 2017年6月<br>(June 2017) |
| Kaufman Capital Management  | Kinshicho Office Tower, 4-2-1<br>Touhei, Sumida-ku, Tokyo                                                       | Tel: +81 3 6730 2847                              | <a href="http://kaufmancapitalmanagement.com">kaufmancapitalmanageme<br/>nt.com</a> | 2017年6月<br>(June 2017) |
| Kinetix Exploration Limited | 2F MG Meguro Ekimae 2-15-19,<br>Kamiosaki, Shinagawa-ku, Tokyo,<br>ZIP 141-0021                                 | Tel: +81 3 4520 8907                              | <a href="http://www.kinetixexploration.com">www.kinetixexploration<br/>.com</a>     | 2017年6月<br>(June 2017) |
| Magellan                    | 5th Floor Higashiazabu Kyuei<br>Bldg. 3-7-3 Higashiazabu,<br>Minato-ku, Tokyo, ZIP 106-0044                     | Tel: +81 3 4577 3301<br>Fax: +81 3 4577 3305      | <a href="http://www.magellanfp.com">www.magellanfp.com</a>                          | 2017年6月<br>(June 2017) |
| Nihon International         | Tennozu Yusen Bldg. 25F 2-2-20<br>Higashi-shinagawa, Shinagawa-ku,<br>Tokyo, ZIP 140-0002                       | Tel: +81 3 4520 9390<br>Fax: +81 3 4520 9389      | <a href="http://www.nihoninternational.com">www.nihoninternational.com</a>          | 2017年6月<br>(June 2017) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                     | 所在地又は住所<br>(Location)                                                                                                                                      | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                     | 掲載時期<br>(Publication)  |
|------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------|------------------------|
| Offshore East Private<br>Wealth Managers | Otemachi Financial City Grand<br>Cube, 1 Otemachi, Chiyoda-ku,<br>Tokyo<br><br>Edobori Center Building, 2-1-1<br>Edobori, Nishi-ku, Osaka, ZIP<br>550-0002 | Tel: +86 10 5904 4810<br>Fax: +86 10 5885 0588    | <a href="http://www.offshoreeast.com">www.offshoreeast.com</a>             | 2017年6月<br>(June 2017) |
| Paragon Advisors                         | 15/F, Cerulee Tower, 26-1,<br>Sakuragaoka-cho, Shibuya-ku,<br>Tokyo, ZIP 150-8512                                                                          |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Pearson Investments LLC                  | 15F 16 Hiroshima High Building<br>3-1, Kanayama-cho, Naka-ku,<br>Hiroshima, ZIP 730-0022                                                                   |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Prime Financial Partners                 | Shinagawa Intercity B, 2-15-1<br>Konan, Minato-Ku, Tokyo, ZIP<br>108-0075                                                                                  | Tel: +81 3 6701 2352                              | <a href="http://primefinancialpartners.com">primefinancialpartners.com</a> | 2017年6月<br>(June 2017) |
| Red Shield Financial                     | Japan Shinjuku Oak Tower, 6-8-1<br>Nishi Shinjuku, Shinjuku-ku,<br>Tokyo                                                                                   |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Shinjuku Commodities                     | Okuzumi Building 2F, 1-26-14,<br>Jiyugaoka, Meguro-Ku, Tokyo                                                                                               | Tel: +81 3 4580 1927<br>Fax: +81 3 4330 1289      | <a href="http://shinjukucommodities.com">shinjukucommodities.com</a>       | 2017年6月<br>(June 2017) |
| Southbourne Group                        | Level 21, Shin-Marunouchi Center<br>Building, 1-6-2 Marunouchi,<br>Chiyoda-ku, Tokyo, ZIP 100-0005                                                         | Tel: +81 3 4510 6150                              | <a href="http://southbournegroup.com">southbournegroup.com</a>             | 2017年6月<br>(June 2017) |
| Takara Global                            | 12th Floor, Bunkyo Green Court<br>Center Office, 28-8, Honkomagome<br>2-chome, Bunkyo-ku, Tokyo, ZIP<br>113-0021                                           | Tel: +81 3 6890 8594<br>Fax: +81 3 6890 8596      |                                                                            | 2017年6月<br>(June 2017) |
| The Alder Group                          | Level 15, 26-1, Sakuragaoka-cho,<br>Shibuya-ku, Tokyo, ZIP 150-8512                                                                                        |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Thornwood Financial                      | Shinjuku Front Tower, 2-21-1<br>Kita-Shinjuku, Shinjuku-ku,<br>Tokyo                                                                                       |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Tilman Hall Capital                      | Sphere Tower Tennozu, 2-2-8<br>Higashi Shinagawa, Shinagawa-ku,<br>Tokyo, ZIP 140-0002                                                                     |                                                   |                                                                            | 2017年6月<br>(June 2017) |
| Trinova Capital                          | Otemachi Financial City North<br>Tower 1-9-5 Otemachi, Chiyoda-<br>ku, Tokyo, ZIP 100-0004                                                                 | Tel: 00813 458 88434                              | <a href="http://trinovacapital.com">trinovacapital.com</a>                 | 2017年6月<br>(June 2017) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                  | 所在地又は住所<br>(Location)                                                                                              | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                 | 掲載時期<br>(Publication)   |
|---------------------------------------|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------------|-------------------------|
| Walter Advisory Group                 | DiverCity Tower, Koto-ku, Tokyo                                                                                    |                                                   |                                                                                        | 2017年6月<br>(June 2017)  |
| Assured Trading                       | Nisseki Yokohama Building, Level 12, 1-1-8 Sakuragi-cho, Naka-ku, Yokohama                                         | Tel: +81 4 5670 9020<br>Fax: +81 4 5330 8738      | <a href="http://www.assuredtrading.com/">www.assuredtrading.com/</a>                   | 2017年3月<br>(March 2017) |
| Capital Strategy Partners             | Dai Tokyo Kasai Nihonbashi Building, Tokyo, ZIP 103-0027                                                           | Tel: +81 3 4577 9967<br>Fax: +81 3 4588 8495      | <a href="http://www.capitalstrategypartners.com/">www.capitalstrategypartners.com/</a> | 2017年3月<br>(March 2017) |
| Hashimura Inc.                        | Gate Tower Bldg. 12th floor, 5-4-21 Fukushima, Fukushima-ku, Osaka, ZIP 553-0003                                   | Tel: +81 6 4560 4098                              | <a href="http://www.hashimura.com/index.html">www.hashimura.com/index.html</a>         | 2017年3月<br>(March 2017) |
| Izumi Ventures                        | 5th Floor, Shinwananba, High Life, 3 - 13 Saiwai-Cho 1-Chome, Naniwa-ku, Osaka, ZIP 556-0021                       | Tel: +81 6 4560 4047                              |                                                                                        | 2017年3月<br>(March 2017) |
| Kawano and Associates                 | Sakaisuji Bldg. 2-3 Minami Honmachi 2-chrome Chou-ku, Osaka, ZIP 541-0054                                          | Tel: +81 6 4560 4018<br>Fax: +81 6 7635 5094      | <a href="http://www.kawanoassoc.com/">www.kawanoassoc.com/</a>                         | 2017年3月<br>(March 2017) |
| Larsson and York Wealth Management    | 29th Floor, Shinjuku I-Land Tower, 6-5-1, Nishi-Shinjuku, Shinjuku-ku, Tokyo                                       | Tel: +81 3 4579 3493                              | <a href="http://larssonandYork.com">larssonandYork.com</a>                             | 2017年3月<br>(March 2017) |
| Miyake Gould Mergers and Acquisitions | ADK Shochiku Square 13-1, Tsukiji 1-chome, Chuo-ku, Tokyo, ZIP 104-8172                                            | Tel: +81 3 4578 2310                              | <a href="http://www.miyakegould.com">www.miyakegould.com</a>                           | 2017年3月<br>(March 2017) |
| Monex Financial                       | Jinbocho Mitsui Bldg. 13th Floor, 105, Tokyo, ZIP 101-0051                                                         | Tel: +81 3 4579 3382<br>Fax: +81 3 4579 3392      | <a href="http://monexfinancial.com/">monexfinancial.com/</a>                           | 2017年3月<br>(March 2017) |
| Ono Ventures                          | 5th floor, Dai-1 Aklyama Building., 22-13, Toranomom 1-chome, Minato-ku, Tokyo. ZIP 105-0001                       |                                                   |                                                                                        | 2017年3月<br>(March 2017) |
| Sakai, Yao and Partners               | 4th Fl., Meiji Yasuda Seimei Sakaisuji Honmachi Bldg. 7-15, Minami Hon-machi 1-chome, Chuo-ku, Osaka, ZIP 541-0054 | Tel: +81 6 4560 4052<br>Fax: +81 6 7635 2865      | <a href="http://www.sakaiyao.com">www.sakaiyao.com</a>                                 | 2017年3月<br>(March 2017) |
| Standard Fidelity Ltd                 | Abeno Harukas, 1-1-43, Abenosuji, Abeno-Ku, Osaka                                                                  | Tel: +81 6 4560 4604                              | <a href="http://www.standardfidelity.com">www.standardfidelity.com</a>                 | 2017年3月<br>(March 2017) |
| VCC International Group Limited       | 5-19-7 Uchi-Kanda Chiyoda-Ku, Tokyo, ZIP 100-0047                                                                  | Tel: +81 3 4520 8982<br>Fax: +81 3 6369 3582      | <a href="http://www.vccinternational.com/">www.vccinternational.com/</a>               | 2017年3月<br>(March 2017) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                   | 所在地又は住所<br>(Location)                                                                                                                                                                              | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                             | 掲載時期<br>(Publication)       |
|----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|------------------------------------------------------------------------------------|-----------------------------|
| Arai, Endo and Associates              | 15th Fl., Cerulean Tower, 26-1,<br>Sakuragaoka-cho, Shibuya-ku,<br>Tokyo, ZIP 150-8512                                                                                                             | Tel: +81 3 4578 2383<br>Fax: +81 3 6369 4504      | <a href="http://www.araiendo.com">www.araiendo.com</a>                             | 2016年12月<br>(December 2016) |
| Asashi Mergers &<br>Acquisitions Group | 18F Yokohoma Landmark Tower,<br>Nishi-ku Kanagawa, 2-2-1<br>Minatomirai, Yokohama, ZIP 228-<br>8120<br><br>6F & 9F Sotetsu KS Building, 1-<br>11-5 Kitasaiwai, Nishi-ku,<br>Yokohama, ZIP 220-0004 | Tel: +81 4 5670 9016<br>Fax: +81 4 5330 7608      | <a href="http://www.asashima.com">www.asashima.com</a>                             | 2016年12月<br>(December 2016) |
| Carter Partners                        | 1-18/B Ageba-cho, Shinjuku-ku,<br>Tokyo                                                                                                                                                            | Tel: +81 34578 2119<br>Fax: +81 34330 1999        | <a href="http://www.carter-partners.com">www.carter-partners.com</a>               | 2016年12月<br>(December 2016) |
| Integrity Research Group               | 1-6-1 Roppongi, Minato-ku,<br>Tokyo, ZIP 106-0032                                                                                                                                                  | Tel: +81 3 4579 5609                              | <a href="http://www.integrityresearchgroup.com">www.integrityresearchgroup.com</a> | 2016年12月<br>(December 2016) |
| Kabushiki Asset Management             | 7th flr. Triplex Yanagiwara<br>Bldg. 16-50, Roppongi 6-chome<br>Minato-ku, Tokyo, ZIP 106-0032                                                                                                     | Tel: +81 3 4520 9592<br>Fax: +81 3 6745 8932      | <a href="http://www.kabushikiam.com/index.html">www.kabushikiam.com/index.html</a> | 2016年12月<br>(December 2016) |
| Kamol and Partners                     | 12th Fl., Tamachi Center Bldg.<br>34-7, Shiba 5-chome, Minato-ku,<br>Tokyo, ZIP 108-0014                                                                                                           | Tel: +81 3 4588 8491<br>Fax: +81 3 4588 8496      | <a href="http://kamolpartners.com">kamolpartners.com</a>                           | 2016年12月<br>(December 2016) |
| Lexus Group                            | Level 8, 3, Azabudai, Minato-ku,<br>Tokyo                                                                                                                                                          | Tel: +81 3 4510 6140                              | <a href="http://www.lexusgroup.com">www.lexusgroup.com</a>                         | 2016年12月<br>(December 2016) |
| Ling Capital                           | Shiodome City Center, 1-5-2<br>Higashishinbashi, Minato-ku,<br>Tokyo, ZIP 105-7108                                                                                                                 |                                                   |                                                                                    | 2016年12月<br>(December 2016) |
| Mori and Associates                    | 3rd Fl., MCK Shibaura Bldg., 14-<br>13, Shibaura 2-chome, Minato-ku,<br>Tokyo, ZIP 108-0023                                                                                                        | Tel: +81 3 4570 4386<br>Fax: +81 3 4496 6317      | <a href="http://www.moriassoc.com/">www.moriassoc.com/</a>                         | 2016年12月<br>(December 2016) |
| Nikko-Desjardins Asset<br>Management   | 20th Floor Shinagawa, 1 Chome-2-<br>70, Minato-ku, Tokyo, ZIP 108-<br>0075                                                                                                                         | Tel: +81 3 4579 3242<br>Fax: +81 3 4579 3245      | <a href="http://www.nikkoholdings.com">www.nikkoholdings.com</a>                   | 2016年12月<br>(December 2016) |
| Oshiro Associates                      | 8th Floor, H & I building, 17-<br>18, Shinjuku 5-chome, Shinjuku-<br>ku, Tokyo, ZIP 160-0022                                                                                                       | Tel: +81 3 6890 8586                              | <a href="http://www.oshiroassociates.com">www.oshiroassociates.com</a>             | 2016年12月<br>(December 2016) |
| Softbank CIBC International            | 20th Floor, Shibaura Square<br>Building, 4-9-25, Shibaura,<br>Minato-Ku, Tokyo, ZIP 108-0023                                                                                                       | Tel: +81 3 4578 9560<br>Fax: +81 3 4578 0460      | <a href="http://sbicorporate.com/">sbicorporate.com/</a>                           | 2016年12月<br>(December 2016) |



金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                    | 所在地又は住所<br>(Location)                                                                       | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                               | 掲載時期<br>(Publication)       |
|-----------------------------------------|---------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------|-----------------------------|
| Tanaguchi Kuji & Partners               | 202, 7-11-4, Roppongi, Minato-ku, Tokyo, ZIP 106-0032                                       | Tel: +81 3 4578 1517<br>Fax: +81 3 6745 8638      | <a href="http://www.tanaguchikuii.com">www.tanaguchikuii.com</a>     | 2016年12月<br>(December 2016) |
| Tech Fortune Investment Limited         | Ginsen Shinbashi Building, No.2 5, Shimbashi, Minato-ku, Tokyo                              | Tel: +86 21 6039 8411<br>Fax: +86 21 6039 8388    | <a href="http://www.tfinvestmentltd.com">www.tfinvestmentltd.com</a> | 2016年12月<br>(December 2016) |
| Chiba & Associates                      | 5th flr: Miki Bldg, 15-5 Nihonbashi 3-chome, Chuo-ku Tokyo, ZIP 103-0027                    | Tel: +81 3 4510 7882                              | <a href="http://www.chibaassoc.com">www.chibaassoc.com</a>           | 2016年9月<br>(September 2016) |
| Intellectual Property Acquisitions      | 8th Floor, Yushin Bldg. 27-11 Shibuya 3-chome, Shibuya-ku, Tokyo, ZIP 150-0002              | Tel: +81 3 4578 9577                              | <a href="http://intpropacq.com/">intpropacq.com/</a>                 | 2016年9月<br>(September 2016) |
| IronFx Global Limited                   | Old Broad Street Business Centre, 8th Floor, 55, Old Broad Street, London, UK, ZIP EC2M 1RX | Tel: +44 203 282 7000                             |                                                                      | 2016年9月<br>(September 2016) |
| Maeda Ventures                          | 10th floor Nichirel Akashicho bldg 6-4, Akishicho Chou-ku, Tokyo, ZIP 104-0044              | Tel: +81 3 4510 7840<br>Fax: +81 3 6800 5293      |                                                                      | 2016年9月<br>(September 2016) |
| Naruse, Terada & Associates             | 7th Fl., Kojimachi K-118 Bldg. 4, Koji-machi 3-chome, Chiyoda-ku, Tokyo, ZIP 102-0083       |                                                   | <a href="http://www.naruseterada.com">www.naruseterada.com</a>       | 2016年9月<br>(September 2016) |
| Nomura Levy Mergers and Acquisition     | 10th Fl., Aoyama Tower Bldg., 24-15, Minami Aoyama 2-chome, Minato-ku, Tokyo, ZIP 107-0062  |                                                   |                                                                      | 2016年9月<br>(September 2016) |
| Pan Asia Pacific Mergers & Acquisitions | 6th Fl., Fukuyoshi-Cho Bldg., 2-6 Roppongi 2-chome, Minato-ku, Tokyo, ZIP 106-0032          | Tel: +81 3 4577 6443                              |                                                                      | 2016年9月<br>(September 2016) |
| Shibata & Partners                      | 5th Flr, Kajitani Daiichi Building, 21-10, Shinkawa 2-chome, Chuo-ku, Tokyo, ZIP 104-0033   | Tel: +81 3 4510 6137                              |                                                                      | 2016年9月<br>(September 2016) |
| Somerset Offshore Asset Management      | Marunouchi Park Building, 2-6-1 Marunouchi, Chiyoda-Ku, Tokyo, ZIP 100-8222                 | Tel: +86 10 5904 5999                             |                                                                      | 2016年9月<br>(September 2016) |
| Capital Trust Ventures                  | 14th Flr., Toho Hibiya Bldg. 1-2-2, Yurakucho, Chiyoda-ku Tokyo ZIP 104-0044                | Tel: +81 3 4577 4664                              | <a href="http://www.ctvent.com">www.ctvent.com</a>                   | 2016年7月<br>(July 2016)      |
| Carlton Church                          | Toranomon Hills, 1 Chome 23 Toranomon, Minato-ku, Tokyo                                     | Tel: +81 3 6388 0373                              | <a href="http://www.carltonchurch.net">www.carltonchurch.net</a>     | 2016年7月<br>(July 2016)      |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)        | 所在地又は住所<br>(Location)                                                                                                                                      | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                       | 掲載時期<br>(Publication)  |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------------------|------------------------|
| DAIKYO BROKERAGE LIMITED    | Mid Town Tower, 9-142-1,<br>Akasaka, Minato-ku, Tokyo                                                                                                      | Tel: +81 3 4578 9434<br>Fax: +81 3 4578 9230      | <a href="http://www.daikyo-brokerage-limited.com">www.daikyo-brokerage-limited.com</a>       | 2016年7月<br>(July 2016) |
| Ephraim Global              | Harumi Island Triton Square<br>Office Tower, 1-8-12 Tower Z,<br>Harumi, Chuo-ku, Tokyo                                                                     | Tel: +81 3 4578 1733<br>Fax: +81 3 4579 0121      | <a href="http://www.ephraimglobal.com">www.ephraimglobal.com</a>                             | 2016年7月<br>(July 2016) |
| Garrett Knight Associates   | Aria Shinagawa, Level 22, 1-3-36<br>Konan, Minato-ku, Tokyo                                                                                                | Tel: +81 3 4540 6163<br>Fax: +81 3 4330 1946      | <a href="http://www.garrettknight.com">www.garrettknight.com</a>                             | 2016年7月<br>(July 2016) |
| Haiga and Partners          | 6th Fl., Meijiseimei kan Bldg.<br>1-1, Marunouchi 2-chome Chiyoda-<br>ku, Tokyo, ZIP 100-0005                                                              |                                                   | <a href="http://www.haigapartners.com">www.haigapartners.com</a>                             | 2016年7月<br>(July 2016) |
| Hasegawa Financial Holdings | Sunshine 60 Building, 3-1-1<br>Higashi-Ikebukuro, Tokyo                                                                                                    | Tel: +81 3 4579 0313<br>Fax: +81 3 4510 2393      | <a href="http://www.hasegawa-financial-holdings.com">www.hasegawa-financial-holdings.com</a> | 2016年7月<br>(July 2016) |
| Hideki Financial Protection | 7F, 8F & 9F Tokyodo Nishikicho<br>Building, 3-7-2 Kanda<br>Nishikicho, Chiyoda-ku, Tokyo,<br>ZIP 101-0054                                                  | Tel: +81 3 4578 9545<br>Fax: +81 3 6369 3299      | <a href="http://www.hidekifp.com">www.hidekifp.com</a>                                       | 2016年7月<br>(July 2016) |
| Mizuho Corporate Global     | Shinagawa Crystal Square 1F, 1-<br>6-41 Konan, Minato-ku, Tokyo,<br>ZIP 108-0075                                                                           | Tel: +81 3 4579 8500<br>Fax: +81 3 4579 8488      | <a href="http://mizuhoglobal.com/">mizuhoglobal.com/</a>                                     | 2016年7月<br>(July 2016) |
| Nankai Group                | 12F Toho Hibiya Building 2-2,<br>Yuraku-cho 1-chome, Chiyoda-ku,<br>Tokyo, ZIP 100-0006                                                                    | Tel: +81 3 4333 7200<br>Fax: +81 3 4333 7600      | <a href="http://www.nankaigroup.com">www.nankaigroup.com</a>                                 | 2016年7月<br>(July 2016) |
| Nomura Funds Group          | 4th Flr., Yurakucho Denki Bldg.<br>Kita-kan 7-1, Yuraku-cho 1-<br>Chome, Chiyoda-ku, Tokyo ZIP<br>100-0006                                                 | Tel: +81 3 4588 8071<br>Fax: +81 3 4579 3223      | <a href="http://nomurafundsgroup.com/">nomurafundsgroup.com/</a>                             | 2016年7月<br>(July 2016) |
| Offshore East               | Otemachi Financial City Grand<br>Cube, 1 Otemachi, Chiyoda-ku,<br>Tokyo<br><br>Edobori Center Building, 2-1-1<br>Edobori, Nishi-ku, Osaka, ZIP<br>550-0002 | Tel: +86 10 5904 4810<br>Fax: +86 10 5885 0588    | <a href="http://www.offshoreeast.com">www.offshoreeast.com</a>                               | 2016年7月<br>(July 2016) |
| Osaka Financial             | 28f, Grand Front Osaka Tower B<br>3-1 Ofuka-cho, Kita-ku, Osaka,<br>ZIP 530-0011                                                                           |                                                   | <a href="http://www.osakafinancial.com">www.osakafinancial.com</a>                           | 2016年7月<br>(July 2016) |
| Regency Associates          | Toyosu On Building, Level 9, 1-<br>1-1, Toyosu, Koto-ku, Tokyo                                                                                             | Tel: +81 3 4570 4346<br>Fax: +81 3 6701 2924      | <a href="http://www.regencyassociates.com">www.regencyassociates.com</a>                     | 2016年7月<br>(July 2016) |
| Shelden Associates          | Chigase-machi 4-327-2 Ome-shi,<br>Tokyo, ZIP 198-0043                                                                                                      | Tel: +81 34 2824 1411                             | <a href="http://www.sheldenassociates.com">www.sheldenassociates.com</a>                     | 2016年7月<br>(July 2016) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)            | 所在地又は住所<br>(Location)                                                                                        | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number)    | ウェブサイトURL<br>(Website)                                                             | 掲載時期<br>(Publication)   |
|---------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------|------------------------------------------------------------------------------------|-------------------------|
| Shinshiro International         | 11th Fl., Shin-Aoyama Building<br>East, 1-1, Minami Aoyama 1-<br>chome, Minato-ku, Tokyo, ZIP<br>107-0062    | Tel: +81 3 4330 1132<br>Fax: +81 3 4330 1131         | <a href="http://www.shinshirointernational.com">www.shinshirointernational.com</a> | 2016年7月<br>(July 2016)  |
| Telemedia Company               | Tama Tokyo Marine Building,<br>Floor 11, 2-1-1 Tsurumaki, Tama,<br>Tokyo                                     | Tel: +81 3 4578 2725<br>Fax: +81 3 6800 3136         | <a href="http://www.telemediacompany.com">www.telemediacompany.com</a>             | 2016年7月<br>(July 2016)  |
| The Pacific Alliances           | Building 1F, 6-3-8 Roppongi,<br>Minato-ku, Tokyo                                                             | Tel: +81 3 4590 6391                                 | <a href="http://www.thepacificalliances.com">www.thepacificalliances.com</a>       | 2016年7月<br>(July 2016)  |
| Wheaton Capital                 | Marunouchi Kitaguchi Building,<br>1-6-5 Marunouchi, Chiyoda-ku,<br>Tokyo, ZIP 100-0005                       |                                                      | <a href="http://wheatoncapital.com">wheatoncapital.com</a>                         | 2016年7月<br>(July 2016)  |
| Yamamoto International          | 4th Fl., No.3 Toyo Kaiji Bldg.<br>23-1, Nishi Shinbashi 2-chome,<br>Minato-ku, Tokyo, ZIP 105-0003           | Tel: +81 3 4333 7200<br>Fax: +81 3 4333 7600         | <a href="http://www.yamamotointernational.com">www.yamamotointernational.com</a>   | 2016年7月<br>(July 2016)  |
| Yamazaki Acquisition Group      | 6F & 9F Sotetsu KS Building, 1-<br>11-5 Kitasaiwai, Nishi-ku,<br>Yokohama, ZIP 220-0004                      | Tel: +81 4 5670 9037<br>Fax: +81 4 5330 4191         | <a href="http://www.yamazakiag.com">www.yamazakiag.com</a>                         | 2016年7月<br>(July 2016)  |
| Advance Capital Partners        | Yokohama Sky Building 20F, 2-19-<br>12 Takashima, Yokohama, ZIP 220-<br>0011                                 | Tel: +81 (0) 45-670-9028<br>Fax: +81 (0) 45-345-4314 | <a href="http://www.advancepartners.com">www.advancepartners.com</a>               | 2016年3月<br>(March 2016) |
| Argo Alternatives               | Shibuya Cerulean Tower, Level 15<br>26-1 Sakuragaoka-cho, Shibuya-<br>ku, Tokyo, ZIP 150-8512                |                                                      | <a href="http://www.argoalt.com">www.argoalt.com</a>                               | 2016年3月<br>(March 2016) |
| Dalton Financial                | GranTokyo North Tower, 1-9-1<br>Marunouchi, Chiyoda-ku, Tokyo,<br>ZIP 100-6741                               | Tel: +81 3 4579 0729                                 | <a href="http://www.daltonfinancial.com">www.daltonfinancial.com</a>               | 2016年3月<br>(March 2016) |
| Hamano and Partners             | 4th Fl., Ginza Sanwa Bldg., 6-1,<br>Ginza 4-chome, Chuo-ku, Tokyo,<br>ZIP 104-0061                           | Tel: +81 3 4589 5389                                 | <a href="http://www.hamanopartners.com">www.hamanopartners.com</a>                 | 2016年3月<br>(March 2016) |
| Larse Capital Ltd               | 2-9, MG Meguro Ekimae Building<br>#102, 2-15-19 Kamiosaki,<br>Shinagawa-ku, Tokyo                            | Tel: +81 3 4588 1459                                 | <a href="http://www.larse.com">www.larse.com</a>                                   | 2016年3月<br>(March 2016) |
| Lawton & Yeung Group<br>(Tokyo) | Ark Hills Sengokuyama Mori Tower<br>35th Floor, 9-10 Roppongi 1-<br>chome, Minato-ku, Tokyo, ZIP<br>106-0032 | Tel: +81 3 4580 0929                                 | <a href="http://www.lawtonandyeung.com">www.lawtonandyeung.com</a>                 | 2016年3月<br>(March 2016) |
| Mitsui Credit Global            | 16F / Yodoyabashi Mitsui<br>Building, 4-1-1 Imabashi, Chuo-<br>ku, Osaka-shi, Osaka, ZIP 541-<br>0042        | Tel: +81 6 4560 4429<br>Fax: +81 6 4560 4430         | <a href="http://www.mitsuicredit.com">www.mitsuicredit.com</a>                     | 2016年3月<br>(March 2016) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)         | 所在地又は住所<br>(Location)                                                             | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                             | 掲載時期<br>(Publication)       |
|------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------|------------------------------------------------------------------------------------|-----------------------------|
| Pirooz Exchange Group        | ZIP 163-1080, Tokyo, Shinjuku-Ku, 3-7-1 Nishi-Shinjuku, Shikjuku Park Tower       | Tel: +81 3 4578 9575                              | <a href="http://www.piroozexchange.com">www.piroozexchange.com</a>                 | 2016年3月<br>(March 2016)     |
| Resona Partners              | 31F Breeze Tower, 4-9, Umeda 2-chome, Kita-ku, Osaka-shi, Osaka, ZIP 530-0001     | Tel: +81 6 4560 4426<br>Fax: +86 6 4560 4430      | <a href="http://resonapartners.com/">resonapartners.com/</a>                       | 2016年3月<br>(March 2016)     |
| SEEK Ltd. LLC, SEEK Ltd. LLP | Ark Mori Building 1-12-32 Akasaka Minato-ku, Tokyo, ZIP 107-6001                  | Tel: +81 3 6890 8589                              | <a href="http://www.seek-llc.net">www.seek-llc.net</a>                             | 2016年3月<br>(March 2016)     |
| Wilson Bennett Associates    | Osaka Ekimae Dai-4 Building Level 20, 1-11-4 Umeda, Osaka                         |                                                   | <a href="http://www.wilsonbennett.com">www.wilsonbennett.com</a>                   | 2016年3月<br>(March 2016)     |
| Akasaka Acquisitions         | 4th Floor, Kioicho Building, 3-12 Kioi-cho, Chiyoda-ku, Tokyo, ZIP 102-0094       | Tel: +81 3 4588 8494<br>Fax: +81 3 4588 8499      | <a href="http://www.akasakaacq.com">www.akasakaacq.com</a>                         | 2015年12月<br>(December 2015) |
| Allied International Trading | JAL Building, Floor 12, 2-4-11 Higashi-Shinagawa, Tokyo                           | Tel: +81 3 4588 9307<br>Fax: +81 3 6730 2865      | <a href="http://alliedinternationaltrading.com">alliedinternationaltrading.com</a> | 2015年12月<br>(December 2015) |
| Anzen Capital Ltd            | 3f/5f/6f Tobbu Building, 6-28-9 Jingumae, Shibuya-ku, Tokyo, ZIP 150-0001         | Tel: +81 3 4580 1669<br>Fax: +81 3 4580 1670      | <a href="http://anzencapital.com">anzencapital.com</a>                             | 2015年12月<br>(December 2015) |
| Belmont Financial Group      | 2-7-2 Marunouchi, Chiyoda-ku, Tokyo, ZIP 100-0005                                 | Tel: +81 3 4588 1379                              | <a href="http://www.belmontfinancialgroup.com">www.belmontfinancialgroup.com</a>   | 2015年12月<br>(December 2015) |
| Cardinal Trading             | Tokyo Ginza Shiseido Building, Level 6, 8-8-3 Ginza, Tokyo                        | Tel: +81 3 4588 9310<br>Fax: +81 3 6730 2890      | <a href="http://www.cardinaltrading.com">www.cardinaltrading.com</a>               | 2015年12月<br>(December 2015) |
| Fortune Capital Ventures     | 231-0004, Yokohama, Kannai Building, Naka-ku, Yokohama                            | Tel: +81 4 5670 2253<br>Fax: +81 4 5345 0976      | <a href="http://www.fortune-cv.com">www.fortune-cv.com</a>                         | 2015年12月<br>(December 2015) |
| Hitumo Ventures              | 8th Fl., Sakurabashi Bldg., 8-2, Hatchobori 4-chome, Chuo-ku, Tokyo, ZIP 104-0032 | Tel: +81 3 4510 2625                              |                                                                                    | 2015年12月<br>(December 2015) |
| International Partners       | Chiyoda First Building West, Floor 16, 3-5-2 Nishi Kanda, Chiyoda-ku, Tokyo       | Tel: +81 3 4540 6165<br>Fax: +81 3 4330 1957      | <a href="http://www.intl-partners.com">www.intl-partners.com</a>                   | 2015年12月<br>(December 2015) |
| Kansai & Partners            | 701 Holizon 1 Bldg, 30-16 Nishi Waseda 3-chome, Shinjuku-ku, Tokyo, ZIP 169-0051  | Tel: +81 3 4540 6691<br>Fax: +81 3 6701 2190      | <a href="http://www.kansaipartners.com">www.kansaipartners.com</a>                 | 2015年12月<br>(December 2015) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)            | 所在地又は住所<br>(Location)                                                                           | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number)               | ウェブサイトURL<br>(Website)                                                                           | 掲載時期<br>(Publication)       |
|---------------------------------|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------|
| Komaki Group                    | 8th Fl., Echo Kyobashi Bldg. 12-1, Kyobashi 3-chome, Chuo-ku Tokyo, ZIP 104-0031                | Tel: +81 2 9307 8285<br>Fax: +81 2 9307 8284                    | <a href="http://www.komakigroup.com">www.komakigroup.com</a>                                     | 2015年12月<br>(December 2015) |
| Motoyagi and Associates         | 6th Fl., Higashi Kayabacho Yuraku Bldg. 17-25, Shinkawa 1 chome, Chuo-ku, Tokyo, ZIP 104-0033   |                                                                 | <a href="http://www.motoyagiassoc.com">www.motoyagiassoc.com</a>                                 | 2015年12月<br>(December 2015) |
| Nagatacho, Odaiba & Associates  | 32nd Fl., Shinjuku Center Bldg., 25-1, Nishi Shinjuku 1-chome, Shinjuku-ku, Tokyo, ZIP 163-0646 | Tel: +81 3 4578 9577<br>Fax: +81 3 6734 0658                    | <a href="http://www.nagatachoodaiba.com">www.nagatachoodaiba.com</a>                             | 2015年12月<br>(December 2015) |
| Nippon Capital Asset Management | 24F, Abeno Harukas, 1-1-43 Abeno-suji, Abeno-ku, Osaka, ZIP 545-0052                            | Tel: +81 6 4560 4471<br>+81 6 4560 4474<br>Fax: +81 6 4560 4489 | <a href="http://www.nipponholdings.com">www.nipponholdings.com</a>                               | 2015年12月<br>(December 2015) |
| Optima Trading                  | Tama Tokyo Marine Building, Level 11, 2-1-1 Tsurumaki, Tokyo                                    | Tel: +81 3 4588 9308<br>Fax: +81 3 5539 4281                    | <a href="http://www.optimatrading.com">www.optimatrading.com</a>                                 | 2015年12月<br>(December 2015) |
| Osaka Corp                      | 5th Fl., Naocho Building, 5-8, Kamiogi 1-chome, Suginami-ku, Tokyo, ZIP 167-0043                | Tel: +81 2 9307 8285<br>Fax: +81 2 9307 8284                    | <a href="http://www.osakacorp.com">www.osakacorp.com</a>                                         | 2015年12月<br>(December 2015) |
| Pan-Asia Trading Partners       | Shinjuku Maynds Tower, 2-1-1 Yoyogi, Shibuya-ku, Tokyo, ZIP 151-0053                            | Tel: +81 3 6800 5608                                            | <a href="http://www.panasiatradingpartners.com">www.panasiatradingpartners.com</a>               | 2015年12月<br>(December 2015) |
| Saito and Partners              | 4th FL., Shinwa Bldg., 9-11, Toranomon 2-chome, Minato-ku, Tokyo, ZIP 105-0001                  | Tel: +81 3 4510 2623<br>Fax: +81 3 6745 1729                    | <a href="http://www.saitoprns.com">www.saitoprns.com</a>                                         | 2015年12月<br>(December 2015) |
| Sterling Group International    | Shinjuku Center Building, 1 Chome-25-1, Nishishinjuku, Shinjuku-ku, Tokyo, ZIP 160-0023         | Tel: +81 3 6745 5309                                            | <a href="http://www.thesterlinggroupinternational.com">www.thesterlinggroupinternational.com</a> | 2015年12月<br>(December 2015) |
| Strategic Global Partners       | Takarazuka Building, Floor 17, 1-1-3 Yurakucho, Tokyo                                           | Tel: +81 3 4578 2733<br>Fax: +81 3 6800 2917                    | <a href="http://www.strategicglobalpartners.com">www.strategicglobalpartners.com</a>             | 2015年12月<br>(December 2015) |
| Tenjin Associates               | 6th Floor Yarakucho Building, 10-1 Yarakucho 1-chome, Chiyoda-ku, Tokyo, ZIP 100-0006           | Tel: +81 3 4577 4662<br>Fax: +81 3 6701 7418                    | <a href="http://www.tenjinassoc.com">www.tenjinassoc.com</a>                                     | 2015年12月<br>(December 2015) |
| Triguard International          | 879 Udagawacho, Shibuya-ku, Tokyo, ZIP 150-0042                                                 | Tel: +81 3 4578 9099<br>+81 3 4330 1999                         | <a href="http://www.triguardinternational.com">www.triguardinternational.com</a>                 | 2015年12月<br>(December 2015) |
| Warren Global Group             | Shinjuku Maynds Tower, 2-1-1 Yoyogi, Shibuya-ku, Tokyo                                          |                                                                 |                                                                                                  | 2015年12月<br>(December 2015) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)              | 所在地又は住所<br>(Location)                                                                                 | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                           | 掲載時期<br>(Publication)       |
|-----------------------------------|-------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------|-----------------------------|
| deVere Group Tokyo K.K.           | Azabu East Building 4th Floor 1-25-5 Higashi Azabu, Minato-ku Tokyo, ZIP 106-0044                     | Tel: +81 3 3568 2745                              | <a href="http://www.devere-group.com">www.devere-group.com</a>                   | 2015年7月<br>(July 2015)      |
| Higashi and Partners              | 5th Fl., Tanaka Tamuramachi Bldg. 12-15, Shinbashi 2-chome, Chiyoda-ku, Tokyo, ZIP 105-0004           | Tel: +81 3 4520 9467<br>Fax: +81 3 6800 5394      | <a href="http://www.higashipartners.com">www.higashipartners.com</a>             | 2015年7月<br>(July 2015)      |
| Allied Acquisitions               | 16th Fl., Shin Nikko Bldg. East 10-1, Toranomon 2-chome, Minato-ku, Tokyo, ZIP 105-0001               | Tel: +81 50 5865 4064<br>Fax: +81 3 4578 2129     | <a href="http://www.alliedacq.com">www.alliedacq.com</a>                         | 2015年2月<br>(February 2015)  |
| Marunouchi Merger Group           | 28th Fl., Marunouchi Bldg. 4-1, Marunouchi 2-chome, Chiyoda-ku Tokyo, ZIP 100-6333                    | Tel: +81 3 4588 8492<br>Fax: +81 3 4588 8497      | <a href="http://www.marunouchimg.com">www.marunouchimg.com</a>                   | 2015年2月<br>(February 2015)  |
| Minato Merger Group               | 12th Fl., Tamachi Center Bldg. 34-7, Shiba 5-chome, Minato-ku, Tokyo, ZIP 108-0014                    | Tel: +81 3 4588 8491<br>Fax: +81 3 4588 8496      | <a href="http://minatomg.com">minatomg.com</a>                                   | 2015年2月<br>(February 2015)  |
| Nakamura Corporation              | 3rd Fl., Nihon Seimei Ichibancho Bldg. 23-3, Ichiban-cho, Chiyoda-ku, Tokyo ZIP 102-0082              | Tel: +81 50 5865 4060<br>Fax: +81 3 4589 5380     | <a href="http://www.nakamuracorporation.com">www.nakamuracorporation.com</a>     | 2015年2月<br>(February 2015)  |
| Nihonbashi Acquisitions           | 5th Fl., Matsumoto Kyodo Bldg. 5-11, Nihonbashi Hon-cho 3-chome, Chuo-ku, Tokyo, ZIP 103-0023         | Tel: +81 3 4588 8327<br>Fax: +81 3 4588 8495      | <a href="http://www.nihonbashiacq.com">www.nihonbashiacq.com</a>                 | 2015年2月<br>(February 2015)  |
| Shimbashi & Partners              | 15th Fl., Shiodome Sumitomo Bldg. 9-2, Higashi-Shimbashi 1-chome, Minato-ku Tokyo, ZIP 105-0021       | Tel: +81 3 4588 8491<br>Fax: +81 3 4588 8496      | <a href="http://shimbashipartners.com">shimbashipartners.com</a>                 | 2015年2月<br>(February 2015)  |
| Allied Mergers & Asset Management | Sanno Park Tower, Nagata-cho 2-chome, Chiyoda-ku                                                      |                                                   | <a href="http://www.alliedmam.com">www.alliedmam.com</a>                         | 2014年12月<br>(December 2014) |
| Asakura Acquisitions              | 10th Fl., Shibuya Cross Tower, 15-1, Shibuya 2-chome, Shibuya-ku, Tokyo, ZIP 150-0002                 | Tel: +81 3 4520 9316<br>Fax: +81 3 4520 9560      | <a href="http://www.asakuraacq.com/index.html">www.asakuraacq.com/index.html</a> | 2014年12月<br>(December 2014) |
| Daiwa Financial Ltd.              | 19th Fl., Tokyo Sankei Bldg., 7-2, Otemachi 1-chome, Chiyoda-ku, Tokyo, ZIP 100-0004                  | Tel: +81 50 5865 4051<br>Fax: +81 3 4578 9059     | <a href="http://www.daiwafinancial.com">www.daiwafinancial.com</a>               | 2014年12月<br>(December 2014) |
| Hawk Trade                        | Tokyo Daiya Building No. 5, Level 8, 1-28-23 Shinkawa, Tokyo                                          | Tel: +81 3 4578 2731<br>Fax: +81 3 6800 3062      | <a href="http://www.hawktrade.com">www.hawktrade.com</a>                         | 2014年12月<br>(December 2014) |
| Hunt Acquisition Group            | 8/F Shin-Yokohama TECH Building, 3-9-1 Shin-Yokohama, Kohoku-ku, Yokohama-shi, Kanagawa, ZIP 222-0033 |                                                   | <a href="http://www.huntagrp.com">www.huntagrp.com</a>                           | 2014年12月<br>(December 2014) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)             | 所在地又は住所<br>(Location)                                                                                         | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                    | 掲載時期<br>(Publication)       |
|----------------------------------|---------------------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------|
| IAM Group                        | Level 10 TOC Minato Mirai 1-1-7,<br>Sakuragi-cho, Naka-ku, Yokohama,<br>Kanagawa, ZIP 231-0062                | Tel: +81 4 5440 6815<br>Fax: +81 4 5440 6001      | <a href="http://www.iamgroup ltd.com">www.iamgroup ltd.com</a>                            | 2014年12月<br>(December 2014) |
| Miyako Mergers &<br>Acquisitions | 1th Flr., Kasumigaseki Bldg., 2-<br>5, Kasumigaseki 3-chome,<br>Chiyoda-ku, Tokyo, ZIP 100-6012               | Tel: +81 3 4578 9545<br>Fax: +81 3 4578 1548      | <a href="http://www.miyakoma.com">www.miyakoma.com</a>                                    | 2014年12月<br>(December 2014) |
| Parley Acquisition Group         | 10/F TS Plaza Building, 2,<br>Kanagawa-ku, Yokohama-shi,<br>Kanagawa, ZIP 220-8686                            | Tel: +81 4 5670 9040                              |                                                                                           | 2014年12月<br>(December 2014) |
| Saito Mergers &<br>Acquisitions  | 43-2 Kamadai-cho, Hodogaya-<br>ku, Yokohama-shi, Kanagawa, ZIP<br>240-0066                                    | Tel: +81 4 5670 9068                              | <a href="http://www.saitomergers.com">www.saitomergers.com</a>                            | 2014年12月<br>(December 2014) |
| The Cathford group               | 14F Roppongi Minato-ku, Tokyo,<br>ZIP 106-6114                                                                | Tel: +81 3 4540 6638<br>Fax: +81 3 6369 3568      | <a href="http://www.thecathfordgroup.net">www.thecathfordgroup.net</a>                    | 2014年12月<br>(December 2014) |
| Weinstein Acquisitions           | 134 Godocho, Hodogaya-ku,<br>Yokohama, Kanagawa, ZIP 240-0005                                                 |                                                   |                                                                                           | 2014年12月<br>(December 2014) |
| World Capital Advisors           | Marunouchi Eiraku Building, 1<br>Chome-4-1 Marunouchi, Chiyoda-<br>ku, Tokyo                                  | Tel: +81 3 6800 1712                              | <a href="http://www.worldcapitaladvisors.com">www.worldcapitaladvisors.com</a>            | 2014年12月<br>(December 2014) |
| Aura Mergers & Acquisitions      | 21/F Yokohama Mitsui Building 1-<br>1-2 Takashima Nishi-ku,<br>Yokohama,<br>Kanagawa, ZIP 220-0011            | Tel: +81-4-5670-9063                              | <a href="http://auraacquisitions.com">auraacquisitions.com</a>                            | 2014年10月<br>(October 2014)  |
| Kiyose Mergers                   | 16th Flr., Keidanren Kaikan 3-2,<br>Ohte-machi 1-chome, Chiyoda-ku,<br>Tokyo, ZIP 100-0004                    | Tel: +81 3 4578 9465<br>Fax: +81 3 4578 1549      | <a href="http://www.kiyosemergers.com">www.kiyosemergers.com</a>                          | 2014年10月<br>(October 2014)  |
| Liberty Advice Ltd.              | 9th Fl., New Otani Business<br>Court 4-1, Kioi-cho, Chiyoda-ku,<br>Tokyo, ZIP 102-0094                        | Tel: +81 50 5865 4048<br>Fax: +81 3 4589 5387     | <a href="http://www.libertyadv-worldwide.com">www.libertyadv-<br/>worldwide.com</a>       | 2014年10月<br>(October 2014)  |
| Matsuo Associates                | 28th Flr., Atago Green Hills<br>MORI Tower, 5-1, Atago 2-chome,<br>Minata-ku, Tokyo, ZIP 105-6238             | Tel: +81 50 5865 4057<br>Fax: +81 3 4589 5386     | <a href="http://www.matsuo-jp.com">www.matsuo-jp.com</a>                                  | 2014年10月<br>(October 2014)  |
| Nippori Group                    | 14th Fl., Shinjuku Square Tower<br>Bldg 22-1, Nishi Shinjuku 6-<br>chome, Shinjuku-ku, Tokyo, ZIP<br>163-1117 | Tel: +81 3 4330 1006<br>Fax: +81 3 4330 1007      | <a href="http://www.nipporigroup.com">www.nipporigroup.com</a>                            | 2014年10月<br>(October 2014)  |
| Shibuya Associates               | 6th Flr., Noa Dogenzaka Bldg.<br>15-1 Dogenzaka 2-chome, Shibuya-<br>ku Tokyo, ZIP 150-0043                   | Tel: +81 3 4520 9239<br>Fax: +81 3 4578 1506      | <a href="http://www.shibuyaassoc.com/index.html">www.shibuyaassoc.com/i<br/>ndex.html</a> | 2014年10月<br>(October 2014)  |



金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                  | 所在地又は住所<br>(Location)                                                                                         | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                 | 掲載時期<br>(Publication)      |
|---------------------------------------|---------------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------------|----------------------------|
| Taito Securities Group                | 15th Flr., Shibuya Markcity West<br>12-1, Dogenzaka 1-chome,<br>Shibuya-ku, Tokyo, ZIP 150-0043               | Tel: +81 3 4578 9154<br>Fax: +81 3 4578 1504      | <a href="http://www.taitosec.com/index.html">www.taitosec.com/index.html</a>           | 2014年10月<br>(October 2014) |
| Wakamy, Libman & Associates           | 5th Flr., Tadokoro Bldg. 3-15,<br>Nihonbashi Hongoku-cho 3-chome,<br>Chuo-ku, Tokyo, ZIP 103-0021             | Tel: +81 3 4578 9058<br>Fax: +81 3 4578 1536      | <a href="http://www.wakamylibman.com/index.html">www.wakamylibman.com/index.html</a>   | 2014年10月<br>(October 2014) |
| Aichi International                   | 5th Fl., Yushi Kogyo Kaikan 13-<br>11, Nihonbashi 3-chome, Chuo-ku,<br>Tokyo, ZIP 103-0027                    | Tel: +81 3 6701 7638<br>Fax: +81 3 6701 7639      | <a href="http://www.aichiinternational.com">www.aichiinternational.com</a>             | 2014年8月<br>(August 2014)   |
| Capstone Alliance Group               | Level 7 Toranomom 40 MT<br>Building, 5-13-1, Toranomom,<br>Minato-ku, Tokyo                                   | Tel: +81 3 4579 5533                              | <a href="http://capstone-alliancegroup.com">capstone-alliancegroup.com</a>             | 2014年8月<br>(August 2014)   |
| Clairmont Capital Partners            | Marunouchi Kitaguchi Building 6-<br>5, 1 Marunouchi, Chiyoda-ku,<br>Tokyo, ZIP 100-0005                       | Tel: +81 50 5806 7037<br>Fax: +81 3 4333 0700     | <a href="http://www.clairmontcapitalpartners.com">www.clairmontcapitalpartners.com</a> | 2014年8月<br>(August 2014)   |
| Clarion Equity Management             | 15/F Yokohama Landmark Tower, 2-<br>2-1 Minatomirai, Nishi-ku,<br>Kanagawa, Yokohama, ZIP 220-8120            | Tel: +81 4 5670 9059<br>Fax: +81 4 5345 0769      | <a href="http://www.clarionem.com">www.clarionem.com</a>                               | 2014年8月<br>(August 2014)   |
| Hoya Partners                         | 22nd Flr., Mid Town Tower 7-1,<br>Akasaka 9-chome, Minato-ku,<br>Tokyo, ZIP 107-6227                          | Tel: +81 50 5865 4052<br>Fax: +81 3 4589 5385     | <a href="http://www.hoya-jp.com/index.html">www.hoya-jp.com/index.html</a>             | 2014年8月<br>(August 2014)   |
| Keyser Associates                     | 15th Flr., Shinagawa Grand<br>Central Tower 16-4, Konan 2-<br>chome, Minato-ku, Tokyo, ZIP<br>108-0075        | Tel: +81 50 5865 4053<br>Fax: +81 34 5895 3788    | <a href="http://keyser-global.com/index.html">keyser-global.com/index.html</a>         | 2014年8月<br>(August 2014)   |
| Komatsu Global                        | 7th Fl., Intec 88 Building. 20,<br>Araki-cho, Shinjuku-ku, Tokyo,<br>ZIP 160-0007                             | Tel: +81 3 4330 1008<br>Fax: +81 3 4570 0450      | <a href="http://www.komatsuglobal.com/index.php">www.komatsuglobal.com/index.php</a>   | 2014年8月<br>(August 2014)   |
| Koyal Group                           | Arca Central Building 14F, 1-2-1<br>Kinshi, Sumida-ku, Tokyo, ZIP<br>130-0013                                 | Tel: +81 3 4578 2181<br>Fax: +81 3 6701 7036      | <a href="http://www.koyalgroup.com/">www.koyalgroup.com/</a>                           | 2014年8月<br>(August 2014)   |
| Marks Capital                         | Riverside Yomiuri Building 36-2,<br>Nihonbashi Hakozaicho, Koto-ku,<br>Tokyo, ZIP 103-0015                    | Tel: +81 3 6740 0962<br>Fax: +81 3 6800 3128      | <a href="http://www.markscapital.com/index.html">www.markscapital.com/index.html</a>   | 2014年8月<br>(August 2014)   |
| Springer Asset Management             | 5/F Yokohama Business Park East<br>Tower 134, Godo-cho, Hodogaya-<br>ku, Yokohama, Kanagawa, ZIP 240-<br>0005 | Tel: +81 4 5670 9062<br>Fax: +81 4 5345 0297      | <a href="http://www.springeram.com">www.springeram.com</a>                             | 2014年8月<br>(August 2014)   |
| Baxter Capital Ventures<br>Management | 16F Shin-Yokohama Square Bldg.<br>2-5-14 Shin-Yokohama, Kohoku-ku,<br>Yokohama, ZIP 222-0033                  |                                                   |                                                                                        | 2014年6月<br>(June 2014)     |



金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                          | 所在地又は住所<br>(Location)                                                                 | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                                           | 掲載時期<br>(Publication)      |
|-----------------------------------------------|---------------------------------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------|
| Benison Asset Management                      | Minatomirai Center Building 15F<br>3-6-1, Nishi-ku, Yokohama, ZIP<br>221-0012         | Tel: +81 4 5670 9058                              | <a href="http://www.benisonam.com">www.benisonam.com</a>                                         | 2014年6月<br>(June 2014)     |
| Century Trading                               | Osaka Maru Building, Floor 28 1-<br>19-20 Umeda, Osaka, ZIP 74332                     | Tel: +81 6 4560 4020<br>Fax: +81 6 7635 2874      |                                                                                                  | 2014年6月<br>(June 2014)     |
| Minato International                          | 8th Fl., Fuji 28 Bldg 7-26,<br>Kita-Aoyama 2-chome, Minato-ku,<br>Tokyo, ZIP 107-0061 | Tel: +81 3 4335 2412<br>Fax: +81 3 4335 2413      |                                                                                                  | 2014年6月<br>(June 2014)     |
| Niigata Global                                | 16th Fl., Sapia Tower 7-12,<br>Marunouchi 1-chome, Chiyoda-ku,<br>Tokyo, ZIP 100-0005 | Tel: +81 3 6740 9975<br>Fax: +81 3 6740 9976      | <a href="http://niigataglobal.com">niigataglobal.com</a>                                         | 2014年6月<br>(June 2014)     |
| Offshore Securities<br>Acquisition Commission | 5F NB Mita Building, 3-14-4,<br>Shiba, Minato-ku, Tokyo, ZIP<br>105-0014              | Tel: +81 3 4540 6131                              | <a href="http://www.oseaco.org">www.oseaco.org</a>                                               | 2014年6月<br>(June 2014)     |
| The Avanti Group                              | 3-11-4 Nihonbashi, Chuo-ku,<br>Tokyo                                                  | Tel: +81 3 4578 2170<br>Fax: +81 3 6701 2906      | <a href="http://www.theavantigroup.com">www.theavantigroup.com</a>                               | 2014年6月<br>(June 2014)     |
| Asgard Group                                  | Nishi Ikebukuro Building, Level<br>6, 1-7 Nishi Ikebukuro, Tokyo                      | Tel: +81 3 4550 0443<br>Fax: +81 3 4333 0301      | <a href="http://www.asgardgroup.com/">www.asgardgroup.com/</a>                                   | 2014年4月<br>(April 2014)    |
| Ashland Mergers &<br>Acquisitions Management  | 17F Sky Building, 2-19-12<br>Takashima, Nishi-Ku, Yokohama,<br>ZIP 220-0011           | Tel: +81 4 5670 9065<br>Fax: +81 4 5330 7645      |                                                                                                  | 2014年4月<br>(April 2014)    |
| First Catholic Financial                      | Seiroka Tower, 8-1 Akashicho,<br>Chuo-ku, Tokyo, ZIP 104-0044                         | Tel: +81 3 6740 1673<br>Fax: +81 3 6745 7692      | <a href="http://firstcatholicfinancial.com/index.html">firstcatholicfinancial.com/index.html</a> | 2014年4月<br>(April 2014)    |
| United Global Trading                         | Takadanobaba Center Building,<br>Floor 10, 1-31-18 Takadanobaba,<br>Tokyo             | Tel: +81 3 4578 2729<br>Fax: +81 3 6369 4289      |                                                                                                  | 2014年4月<br>(April 2014)    |
| Yamato & Associates                           | koraku Mori Bldg 9F, 1-4-14,<br>Koraku, Bunkyo-Ku, Tokyo, ZIP<br>112-004              |                                                   |                                                                                                  | 2014年4月<br>(April 2014)    |
| Yamato Global                                 | NNK Bldg. 4F 1-12 Shinjuku 1-<br>chome, Shinjuku-ku, Tokyo, ZIP<br>160-0022           | Tel: +81 3 6701 2180<br>Fax: +81 3 6701 2181      | <a href="http://www.yamatoglobal.com">www.yamatoglobal.com</a>                                   | 2014年4月<br>(April 2014)    |
| Arc Trading                                   | Shiodome Center, Floor17, 1-5-2<br>Higashi-Shinbashi, Tokyo                           | Tel: +81 3 4550 0317<br>Fax: +81 3 4496 5044      | <a href="http://www.arc trading.com">www.arc trading.com</a>                                     | 2014年2月<br>(February 2014) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 (“Cold Calling” – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                                                                                         | 所在地又は住所<br>(Location)                                                                                    | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number)               | ウェブサイトURL<br>(Website)                                                                                                     | 掲載時期<br>(Publication)       |
|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Belfrey International                                                                                        | Osaka Obayashi Building Level<br>22, 4-33 Kitahama-Higashi, Osaka                                        | Tel: +81 6 4560 4015<br>Fax: +81 6 7635 4818                    | <a href="http://www.belfreyinternational.com">www.belfreyinternational.com</a>                                             | 2014年2月<br>(February 2014)  |
| Chase Franklin International                                                                                 | 3-8-2 Marunouchi, Chiyoda-Ku,<br>Tokyo, ZIP 100-0005                                                     | Tel: +81 3 4520 8983<br>Fax: +81 3 4570 0120                    |                                                                                                                            | 2014年2月<br>(February 2014)  |
| Sierra Finance Asset Management                                                                              | 4F Suga Building 2, 6-82 Ota-<br>cho, Naka-ku, Yokohama,<br>Kanagawa, ZIP 231-0011                       | Tel: +81 4 5670 9039<br>Fax: +81 4 5345 0476                    |                                                                                                                            | 2014年2月<br>(February 2014)  |
| Tokai Global Trading                                                                                         | Tokyo Stock Exchange, 2-1<br>Nihombashi Kabutocho, Chuo-ku<br>Tokyo, ZIP 103-0026                        | Tel: +81 3 6388 0368<br>+81 3 4578 9031<br>Fax: +81 3 4496 4606 | <a href="http://www.tokaiglobaltrading.com">www.tokaiglobaltrading.com</a>                                                 | 2014年2月<br>(February 2014)  |
| Toyo Securities<br>※ Toyo Securities Co.,<br>Ltd. has no association<br>whatsoever with the<br>organization. | 18th Fl., Shiroyama Trust Tower<br>3-1, Toranomon 4-chome, Minato-<br>ku, Tokyo, ZIP 105-6025            |                                                                 |                                                                                                                            | 2014年2月<br>(February 2014)  |
| Asiatic Acquisitions                                                                                         | 9th Floor, Sankei Building 7-2,<br>Otemachi 1-chome, Chiyoda-ku,<br>Tokyo ZIP 100-0004                   |                                                                 |                                                                                                                            | 2013年11月<br>(November 2013) |
| Capital Mergers and Acquisitions                                                                             | 9th floor, NBF Platinum Tower<br>Building 17-3, Shirokane 1-<br>chome, Minato-ku, Tokyo ZIP 108-<br>0072 |                                                                 | <a href="http://www.capitalmaip.com">www.capitalmaip.com</a>                                                               | 2013年11月<br>(November 2013) |
| Daido Holdings Group                                                                                         | 8th floor, Toyo Building 2-10,<br>Nihonbashi 1-chome, Chuo-ku,<br>Tokyo, ZIP 103-0027                    |                                                                 |                                                                                                                            | 2013年11月<br>(November 2013) |
| Firkin Asset Consultants                                                                                     | 12th floor, Hilton Plaza West<br>Office Tower 2-2-2 Umeda, Kita-<br>ku, Osaka, ZIP 530-0001              | Tel: +81 6 4560 4022<br>Fax: +81 6 7635 7238                    |                                                                                                                            | 2013年11月<br>(November 2013) |
| Kanagawa Associates                                                                                          | Sanno Park Tower, Tokyo, ZIP<br>100-6103                                                                 | Tel: +81 3 4578 0069<br>Fax: +81 3 4578 9572                    | <a href="http://www.kanagawa-associates.com">www.kanagawa-associates.com</a>                                               | 2013年11月<br>(November 2013) |
| Smith and Jacobs Financial                                                                                   | 11th floor, Shuwa Kioicho Park<br>Building 3-6, Kioi-cho, Chiyoda-<br>ku, Tokyo, ZIP 102-0094            |                                                                 |                                                                                                                            | 2013年11月<br>(November 2013) |
| Tesla Acquisition Corporation                                                                                | 18th floor, KDDI Ote-machi<br>Building 8-1, Ote-machi 1-chome,<br>Chiyoda-ku, Tokyo, ZIP 100-0004        |                                                                 |                                                                                                                            | 2013年11月<br>(November 2013) |
| GMO Global                                                                                                   | 11th Fl. DaVinci Shiba Park<br>Bldg. 4-1, Shiba Koen 2-chome,<br>Minato-ku, Tokyo, ZIP 105-0011          | Tel: +81 3 6701 2180<br>Fax: +81 3 6701 2181                    | <a href="mailto:info@gmo-global.net">info@gmo-global.net</a><br><a href="http://www.gmo-global.net">www.gmo-global.net</a> | 2013年9月<br>(September 2013) |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                             | 所在地又は住所<br>(Location)                                                                               | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                           | 掲載時期<br>(Publication)       |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------------------------------|-----------------------------|
| Norris Jardine Newell<br>Equities (NJN Equities) | Level 03, Sanno Park Tower, 2-<br>11-1 Nagata-Cho, Chiyoda-Ku,<br>Tokyo, ZIP 100-6162               |                                                   | <a href="http://www.nine.com">www.nine.com</a>                                   | 2013年9月<br>(September 2013) |
| Sovereign Wealth Capital                         | Ochanomizu Center Building 3-2-<br>12 Hongo, Bunkyo-ku, Tokyo, ZIP<br>113-0033                      | Tel: +81 3 6701 2498<br>Fax: +81 3 6730 9716      |                                                                                  | 2013年9月<br>(September 2013) |
| Takeshi Venture Capital                          | Chiyoda ku Tower Marunouchi<br>Tokyo, ZIP 100-0005                                                  | Tel: +81 3 4520 9510<br>Fax: +81 3 4578 1610      | <a href="http://www.takeshiventurecapital.com">www.takeshiventurecapital.com</a> | 2013年9月<br>(September 2013) |
| Capitol Trade                                    | Holland Hills Mori Tower, Floor<br>9, 5-11-1, Toranomon, Tokyo                                      | Tel: +81 3 4520 6362<br>Fax: +81 3 4496 4366      | <a href="http://www.capitoltrade.com">www.capitoltrade.com</a>                   | 2013年7月<br>(July 2013)      |
| MTR Global                                       | 12/F Tokyo Banker's Club Build,<br>1-7-2 Marunouchi, Chiyoda-ku,<br>Tokyo, ZIP 100-0005             | Tel: +81 3 6388 0372<br>Fax: +81 3 6388 0373      | <a href="http://www.mtrglobal.com">www.mtrglobal.com</a>                         | 2013年7月<br>(July 2013)      |
| New Gold Capital                                 | Hibiya Central Building, 1-2-9<br>Nishi-Shinbashi, Minato-ku,<br>Tokyo, ZIP 105-0003                | Tel: +81 3 6745 8443<br>Fax: +81 3 6745 9396      | <a href="http://www.newgoldcapital.com">www.newgoldcapital.com</a>               | 2013年7月<br>(July 2013)      |
| Solomon Layb                                     | Roppongi Tower 7-10-2, Chiyoda<br>Business Park, 2-5-4 Kojimachi,<br>Chiyoda-ku Tokyo, ZIP 102-0083 |                                                   |                                                                                  | 2013年7月<br>(July 2013)      |
| Stockbridge Partners                             | Yasuda Seimei Building Floor 18,<br>3-3-20 Umeda, Osaka                                             | Tel: +81 6 4560 4039<br>Fax: +81 6 7635 4783      |                                                                                  | 2013年7月<br>(July 2013)      |
| WB Global                                        | Azabu East Court, 2-22-5 Higashi<br>Azabu, Minato-ku, Tokyo, ZIP<br>106-0044                        | Tel: +81 3 4588 8725                              | <a href="http://www.wbglobal.net">www.wbglobal.net</a>                           | 2013年7月<br>(July 2013)      |
| Yokohama Mercantile<br>Exchange (YKMEX)          | Naka-ku Tower, Nogecho 3-13-7,<br>Yokohama, Kanagawa, ZIP 231-0064                                  |                                                   |                                                                                  | 2013年7月<br>(July 2013)      |
| Global Strategic Management                      | Daitobo Building, Level 6, 20-1<br>Nihonbashi Hakozaicho, Tokyo                                     | Tel: +81 3 4520 6364<br>Fax: +81 3 6745 8961      |                                                                                  | 2013年6月<br>(June 2013)      |
| Kendall Morgan Partners<br>Tokyo                 | Akihabara Dai building 76,<br>Sotokanda 1, Chiyoda-ku, Tokyo,<br>ZIP 1001-0021                      | Tel: +81 3 6740 6076<br>Fax: +81 3 6740 8379      | <a href="http://www.kendallmorganpartners.com">www.kendallmorganpartners.com</a> | 2013年6月<br>(June 2013)      |
| Aozora Alliance                                  | World Udagawa Building, 3F, 36-6<br>Udagawa-cho, Shibuya-ku, Tokyo,<br>ZIP 150-0042                 | Tel: +81 3 4496 6021<br>Fax: +81 3 4496 6031      | <a href="http://www.aozoraalliance.com">www.aozoraalliance.com</a>               | 2013年4月<br>(April 2013)     |

金融商品取引法令に基づく金融庁の登録・許認可を受けていない業者  
 ("Cold Calling" – Non-Registered and/or Non-Authorized Entities)

| 商号、名称又は氏名等<br>(Name)                                                                        | 所在地又は住所<br>(Location)                                                                                            | 電話番号又はファックス番号<br>(Phone Number and/or Fax Number) | ウェブサイトURL<br>(Website)                                                             | 掲載時期<br>(Publication)   |
|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|------------------------------------------------------------------------------------|-------------------------|
| Direct Mergers & Acquisitions Inc.                                                          | 17th Flr., Resona Maruha Bldg.,<br>1-2 Otemachi 1-chome, Chiyoda-ku, Tokyo, ZIP 100-0004                         | Tel: +81 3 4579 0599<br>Fax: +81 3 6369 3657      |                                                                                    | 2013年4月<br>(April 2013) |
| Drake Merger Management                                                                     | 8th flr., Kanematsu Bldg., 14-1,<br>Kyobashi 2-chome, Chuo-ku, Tokyo, ZIP 104-0031                               | Tel: +81 3 4578 0424<br>Fax: +81 3 6745 1142      |                                                                                    | 2013年4月<br>(April 2013) |
| Huber Acquisition Group<br>*previously known as Castle Rock Finance and Hitomi Acquisitions | 22nd Flr., Atago Green Hills<br>MORI Tower5-1, Atago 2-chome, Minato-ku, Tokyo, ZIP 105-6228                     | Tel: +81 3 4578 0425<br>Fax: +81 3 6745 9383      |                                                                                    | 2013年4月<br>(April 2013) |
| Kirayaka International                                                                      | Head Office 17th Fl., Hibiya<br>Kokusai Building, 2-3<br>Uchisaiwai-cho 2-chome, Chiyoda-ku, Tokyo, ZIP 100-0011 | Tel: +81 3 6740 9975<br>Fax: +81 3 6740 9976      | <a href="http://www.kirayakainternational.com/">www.kirayakainternational.com/</a> | 2013年4月<br>(April 2013) |
| Shinsei Associates                                                                          | 7th F, Kyodo Tsushin Kaikan, 2-5,<br>Toranomon 2-chome, Minato-ku, Tokyo, ZIP 105-0001                           | Tel: +81 3 4496 6021<br>Fax: +81 3 4496 6031      |                                                                                    | 2013年4月<br>(April 2013) |
| Shintomi Trading                                                                            | 12-21-2 Otemachi, Chiyoda-ku, Tokyo, ZIP 100-0004                                                                |                                                   |                                                                                    | 2013年4月<br>(April 2013) |
| Yamaguchi Partners Global Head Office                                                       | 16F Seiroka Tower 8-1, Akashi-cho, Chuo-ku, Tokyo, ZIP 104-6591                                                  | Tel: +81 3 6369 3995<br>Fax: +81 3 6369 3997      | <a href="http://www.yamaguchipartners.com">www.yamaguchipartners.com</a>           | 2013年4月<br>(April 2013) |