

## Appendix 1

### Behavior of Misconduct

Underlying stock: Yamaha Corporation

(Unit: Share)

Period of misconduct	Non-bona fide orders to buy or sell OTC derivatives (CFDs) (Note1)		Executed orders to buy or sell OTC derivatives (CFDs) (Note 2)	
	Sale	Purchase	Sale	Purchase
1:26:07 p.m to 1:34:21 p.m. on April 17, 2018	49,400	41,800	35,200	35,200
10:24:55 a.m. to 10:58:24 a.m. on April 18, 2018	112,400	97,300	71,100	71,200
9:05:27 a.m to 9:24:43 a.m. on April 19, 2018	106,100	151,800	73,100	73,100
9:09:08 a.m to 9:19:44 a.m. on April 20, 2018	37,400	56,400	43,100	43,200
Total	305,300	347,300	222,500	222,700

(Note 1) Amount of orders which were placed but cancelled.

(Note 2) Amount of orders which were executed.