

Appendix 1

Misconduct

(Unit: contract)

Period of misconduct	Non-bona fide orders (Note1)		Execution (Note 2)	
	Sale	Purchase	Sale	Purchase
8:57:08 a.m. on January 9, 2020 ~ 1:52:15 p.m. on January 10, 2020	380	520	23	12

(Note 1) Amount of orders cancelled

(Note 2) Amount of orders executed

Details

(Unit: contract)

		Non-bona fide orders		Execution	
		Sale	Purchase	Sale	Purchase
1	8:57:08 a.m. on January 9, 2020 to 8:57:09 a.m. on the day	160	0	0	4
2	9:01:55 a.m. on the day to 9:01:56 a.m. on the day	100	0	0	3
3	0:31:21 p.m. on the day to 0:31:25 p.m. on the day	0	100	5	0
4	2:54:11 p.m. on the day to 2:54:17 p.m. on the day	120	0	0	5
5	1:30:26 p.m. on January 10, 2020 to 1:30:29 p.m. on the day	0	100	5	0
6	1:41:32 p.m. on the day to 1:41:34 p.m. on the day	0	150	8	0
7	1:52:04 p.m. on the day to 1:52:15 p.m. on the day	0	170	5	0