



Securities and Exchange Surveillance Commission 25th Anniversary International Conference

Market Surveillance to Support Sustainable Economic Growth

~ New Stage based on Quarter-Century Achievement ~

December 5th, 2017

Agenda

Venue: Mita Kaigisho (Mita International Conference Center), Tokyo

Registration (9:10 -)

I. Welcome and Keynote Speech (9:35 - 10:00)

Mr. Mitsuhiro Hasegawa, Chairman, Securities and Exchange Surveillance Commission, Japan

II. “From Savings To Asset Formation” - What Should Market Participants/Regulators Do Now? (10:00 - 11:30)

Moderator: Mr. Naoyuki Yoshino, Dean of the Asian Development Bank Institute, Professor Emeritus at Keio University, Chief Advisor of the Financial Services Agency’s Financial Research Center

*Panelist: Mr. Satoshi Nojiri, Head of Fidelity Investor Education Institute
Mr. Norihiro Takahashi, President, Government Pension Investment Fund, Japan*

Mr. Motoyuki Yufu, Deputy director-General of the Planning and Coordination Bureau, Financial Services Agency, Japan

Ms. Mami Indo, Commissioner, Securities and Exchange Surveillance Commission, Japan

Mr. Paul Leder, Director of Office of International Affairs, Securities and Exchange Commission, the United States of America

Lunch (11:30 - 12:50) hosted by Japan Exchange Regulation

III. Keynote Speech (12:50 - 13:10)

Mr. Takafumi Sato, President, Japan Exchange Regulation

IV. Corporate Governance of Globally-Active Enterprises - Challenges and Good Practices (13:10 - 14:40)

Moderator: Mr. Masaaki Tanaka, Senior Global Advisor of PricewaterhouseCoopers International, Councilor of Financial Services Agency, Japan

Panelists: Mr. Tadaaki Sugiyama, Executive Officer, Kao Corporation
Mr. Takao Kamiya, Senior Executive Board member, Ernst & Young ShinNihon LLC
Mr. Atsushi Saito, Commissioner of Nippon Professional Baseball Organization
Mr. George Olcott, Board Member of Hitachi Chemical, Denso Corporation, and Dai-ichi Life Insurance Company, Guest Professor, Faculty of Commerce, Keio University
Mr. Jamie Allen, Founding Secretary General, Asian Corporate Governance Association

Coffee/Tea Break (14:40 - 15:00)

V. Market Surveillance in the Era of Technology Development - Towards Establishing a RegTech Ecosystem (15:00 - 16:30)

Moderator: Mr. Naoyuki Iwashita, Professor, Kyoto University School of Government, Councilor of Financial Services Agency, Japan, Special Advisor, PricewaterhouseCoopers Aarata LLC, former Head of FinTech Center, Bank of Japan

Panelists: Mr. Motohiko Kaizuka, Industry Consultant Blockchain Solutions Leader, Industry Solutions & Business Development, IBM Japan, Ltd.
Mr. Hiroyuki Matsuzaki, Standing Governor, Japan Exchange Regulation
Mr. Tokio Morita, Secretary-General of Executive Bureau, Securities and Exchange Surveillance Commission
Mr. Sopnendu Mohanty, Chief Fintech Officer, Monetary Authority of Singapore

VI. Keynote Speech (16:30 - 16:50)

Mr. Ashley Alder, Chief Executive Officer, Securities and Futures Commission, Hong Kong, Chair of the IOSCO (International Organization of Securities Commissions) Board

VII. Closing Remarks (16:50 - 17:00)

Mr. Yasushi Hamada, Commissioner, Securities and Exchange Surveillance Commission, Japan