

Strategy & Policy of the SESC 2023-2025

- For Trusted, Fair and Transparent Markets

in Response to the Changing Times -



Mission

Through proper and appropriate oversight, the SESC will

1. Ensure market fairness and transparency, and protect investors
2. Contribute to the sound development of capital markets
3. Contribute to sustainable economic growth

I. Information Gathering and Analysis for Comprehensive Market Oversight

- (1) Gathering of useful information
- (2) Appropriate understanding and analyzing of changes in the markets
- (3) Enhancing international cooperation

II. Effective and Efficient Investigations and Inspections

- (4) Securities inspections based on a risk-based approach
- (5) Prompt responses against market misconduct and disclosure violations
- (6) Rigorous enforcement of criminal investigation against serious and malicious cases
- (7) Proactive response to cases where investors are harmed
- (8) Strengthening of the capability to address non-traditional and new types of violations

III. Effective Initiatives to Enhance Market Discipline

- (9) Enhancement of dissemination of information
- (10) Further enhancement of cooperation with relevant organizations

Enhancement of the Capability as a professional Market Oversight Agency

- More advanced and efficient market oversight with digitalized technologies
- Promotion of cooperation with Local Financial Bureaus
- Strategic development and utilization of human resources