

**List of Attachments for HST Registration Application
(For an Overseas Applicant)**

※ As attachments, only documents prepared or issued within 3 months before the submission date will be accepted.

1. For the Applicant as a juridical person

(a) Applicant

- Articles of Incorporation
- Affidavit for a juridical person
- Pledge Statement for a juridical person
- Latest Balance Sheet, and Profit and Loss Statement

(b) Director (including a representative in Japan)

- Affidavit for a director
- Document certifying the name before marriage (in the case that the name of the director that was used before marriage is stated together with the current name of the director in a written application for registration, and the affidavit for a director is not a document certifying the name of the director used before marriage)
- Pledge Statement for a director
- Resume (in case of a juridical person, "Corporate History")

(c) Agent in Japan

- Resident Card (in case of a juridical person, "Corporate Registry Document")
- Document certifying the name before marriage (in the case that the name of the agent in Japan that was used before marriage is stated together with the current name of the agent in Japan in a written application for registration, and the Resident Card is not a document certifying the name of the agent in Japan used before marriage)
- ※ In the case that there is a representative in Japan, the above document does not have to be submitted.

2. For the Applicant as an individual

(a) Applicant

- Affidavit for an individual
- Document certifying the name before marriage (in the case that the name of the Applicant that was used before marriage is stated together with the current name of the Applicant in a written application for registration, and the affidavit for an individual is not a document certifying the name of the Applicant used before marriage)
- Pledge Statement for an individual
- Resume
- Latest Balance Sheet, and Profit and Loss Statement prepared in accordance with "Form1-2" of attachments to the Cabinet Office Order for Financial Instruments Business, etc.

(b) Agent in Japan

- Resident Card (in case of a juridical person, "Corporate Registry Document")
- Document certifying the name before marriage (in the case that the name of the agent in Japan that was used before marriage is stated together with the current name of the agent in Japan in a written application for registration, and the Resident Card is not a document certifying the name of the agent in Japan used before marriage)

3. For all Applicants

- Document regarding Business Contents and Method
- Document regarding Personnel and Business Execution Structure
- Resume for an individual responsible for legal compliance guidance in relation to HST business
- Resume for an individual responsible for management of HST business
- Document regarding Calculation of Net Assets